

Trustworthy Machine Learning in Multimodal AI Applications: Case Studies and Perspectives

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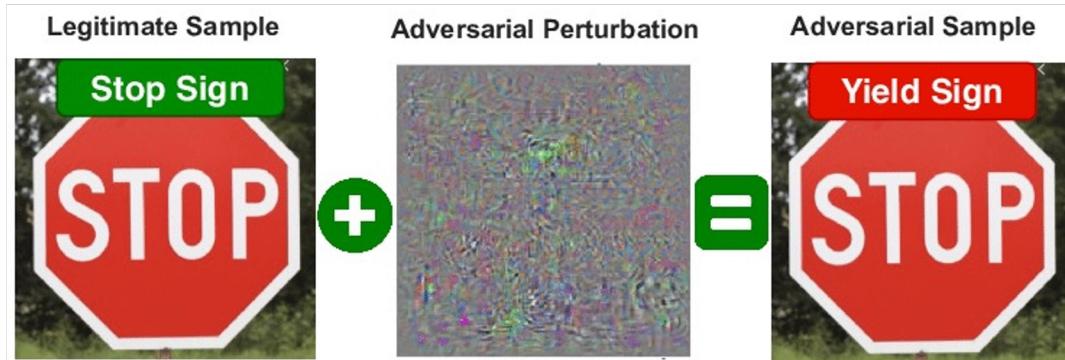
Contributors: Katsuaki Nakano, Michael Zuzak, Renaaron Ellis, and Cory Merkel

Outline

- Motivations
- Multimodality in AI and machine learning applications
- Adversarial attacks on different fusion architectures and models
- Case studies:
 - Does fusion depth in a ML model impact robustness, particularly to single-modal attacks?
 - Can the inclusion of data modalities that are more vulnerable to perturbation make a model less robust to adversarial attacks?
 - Does the impact of quantization on model robustness differ by data modality?
- Summary & future work

Adversarial Attacks on ML Models

Digital-Space Attacks:

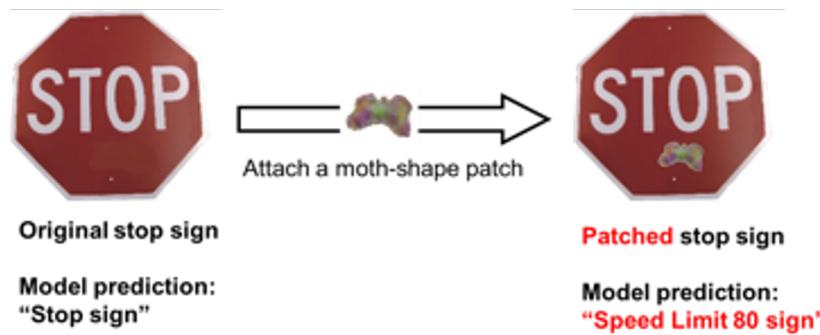


Wrong Traffic Sign Recognition



Wrong Distance (Depth) Estimation

Physical-World Attacks:



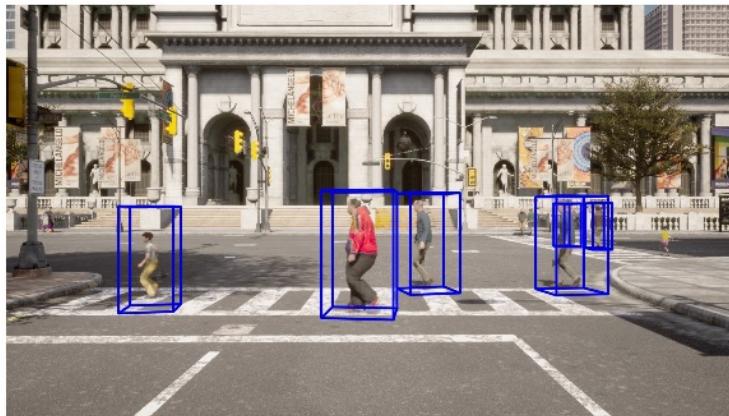
Wrong Traffic Sign Recognition



Wrong Distance (Depth) Estimation

Adversarial Attacks on ML Models

- Multimodal fusion models can be **vulnerable** to adversarial attacks.
- Examples below show that when a patch is present in front, the pedestrians crossing the street cannot be detected by a fusion model anymore.



(a) Benign Scenario



(b) Patch on the Ground

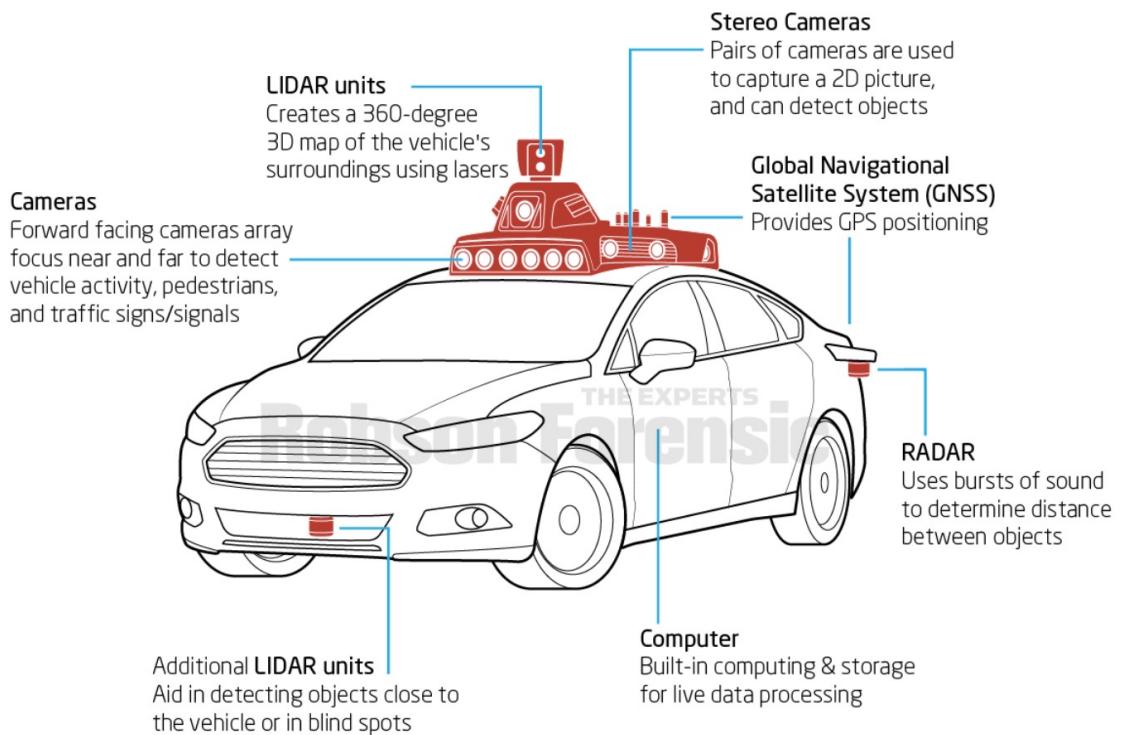
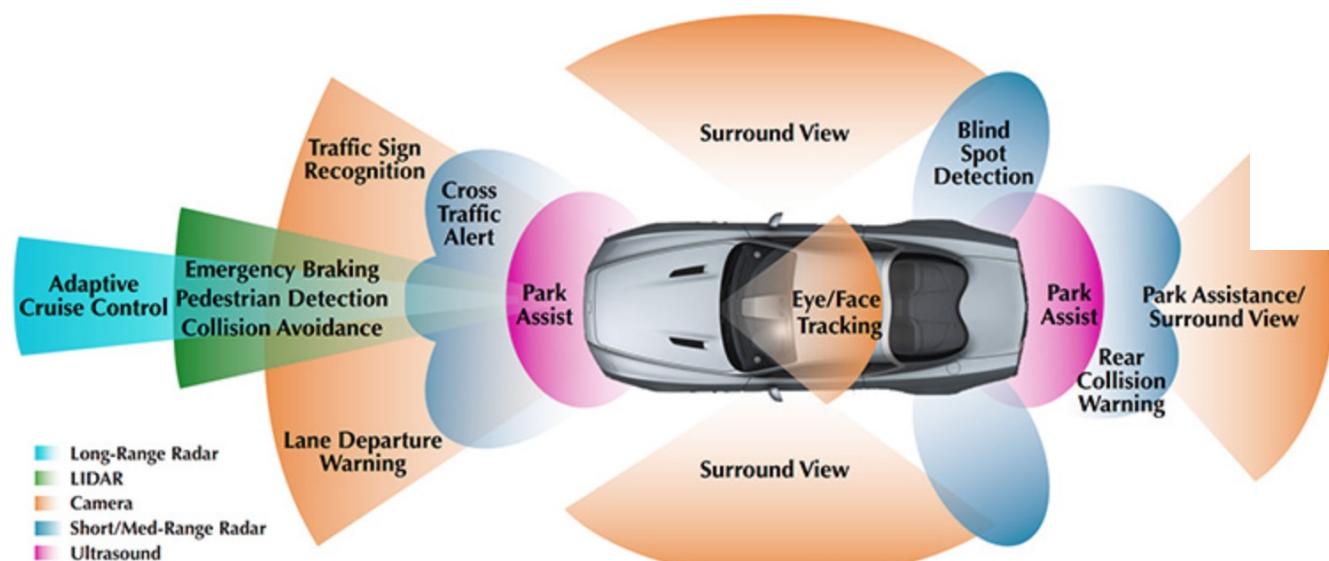


(c) Adversarial Scenario

Multimodal AI Applications

Autonomous Driving

- LiDAR
- Video cameras
- Radar
- GNSS/GPS
- Ultrasonic Range Sensors



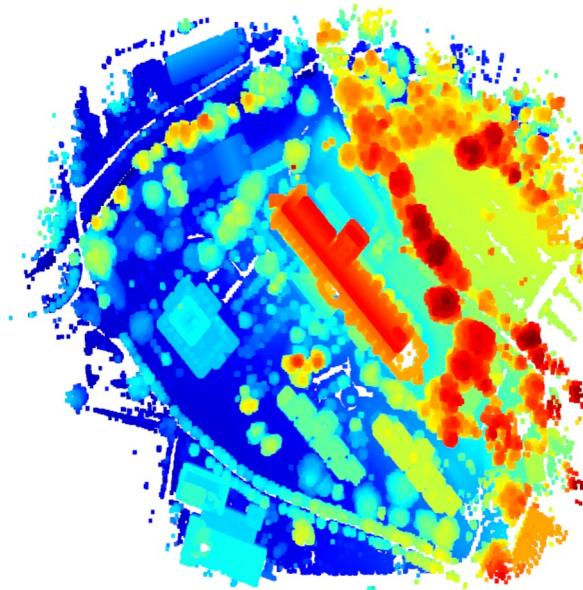
Ref: [1] <https://www.robsonforensic.com/articles/autonomous-vehicles-sensors-expert>
[2] <https://ecotron.ai/blog/introduction-to-autonomous-driving-sensors/>

Multimodal AI Applications

Multispectral Image Segmentation



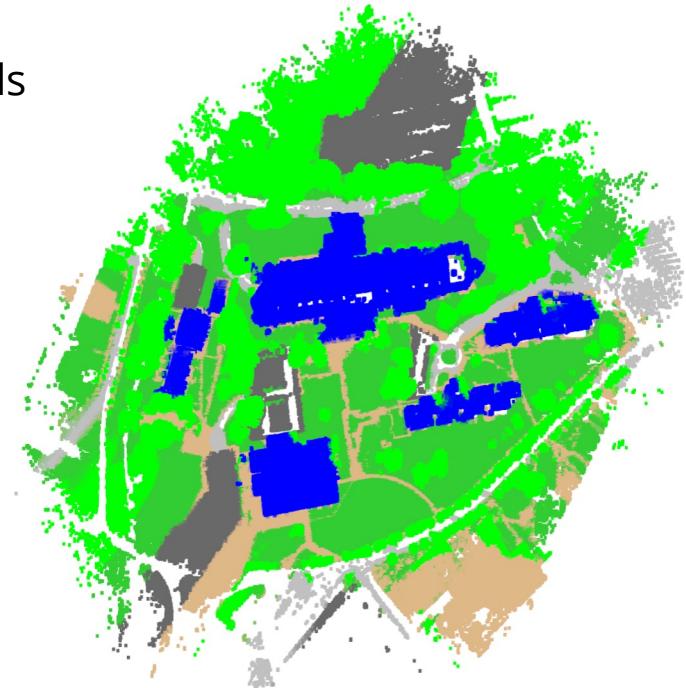
RGB Point Cloud



LiDAR Point Cloud

Ground Truth Labels

- Trees
- Grass
- Parking lot
- Roadway
- Walkway
- Buildings
- Car



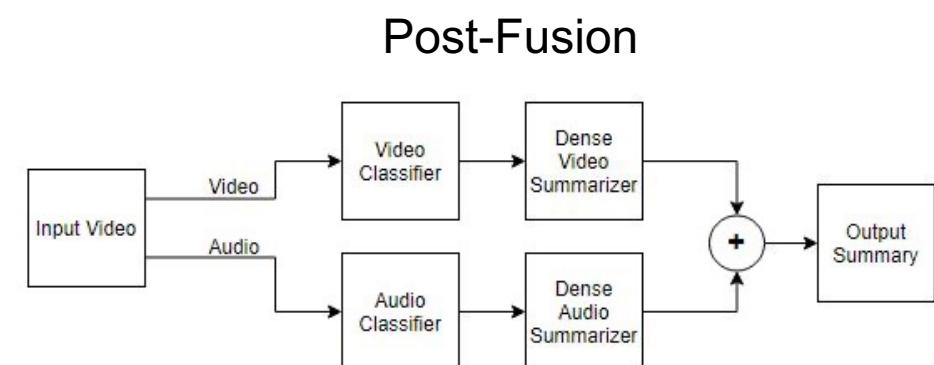
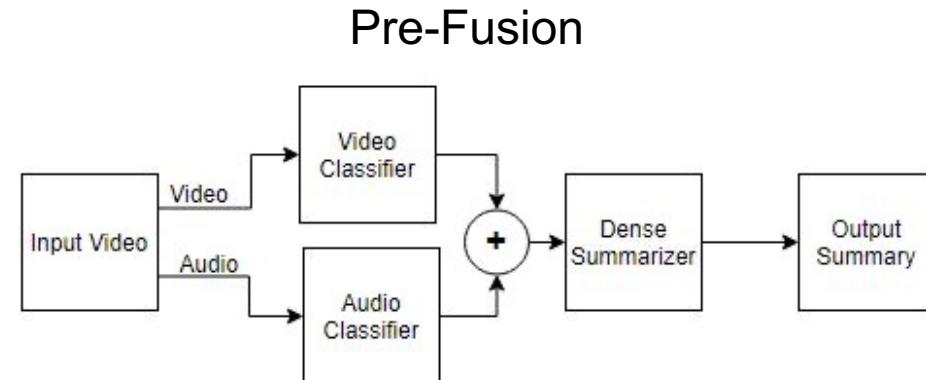
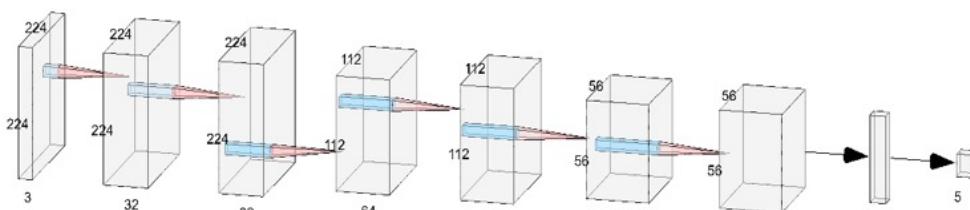
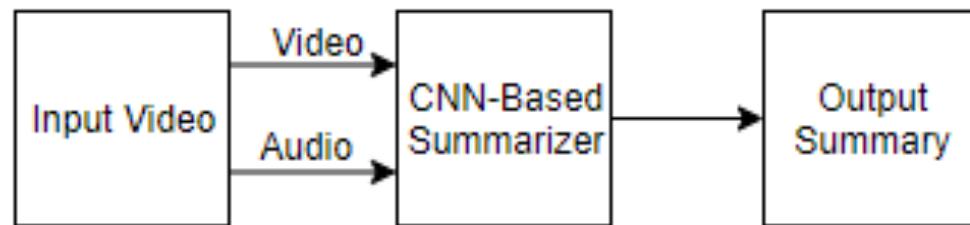
Semantic Segmentation

Other image inputs: Near Infrared, Red Edge ($\lambda \sim 0.717$), Edge Map

Multimodal AI Applications

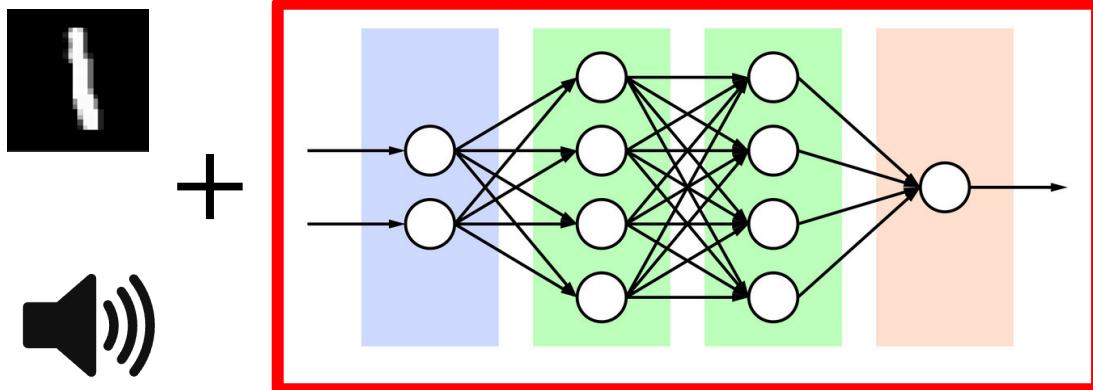
Video Summarization

- A process of taking a video and creating a shorter summary based on significant/interesting parts:
 - Video summary, image summary, text summary



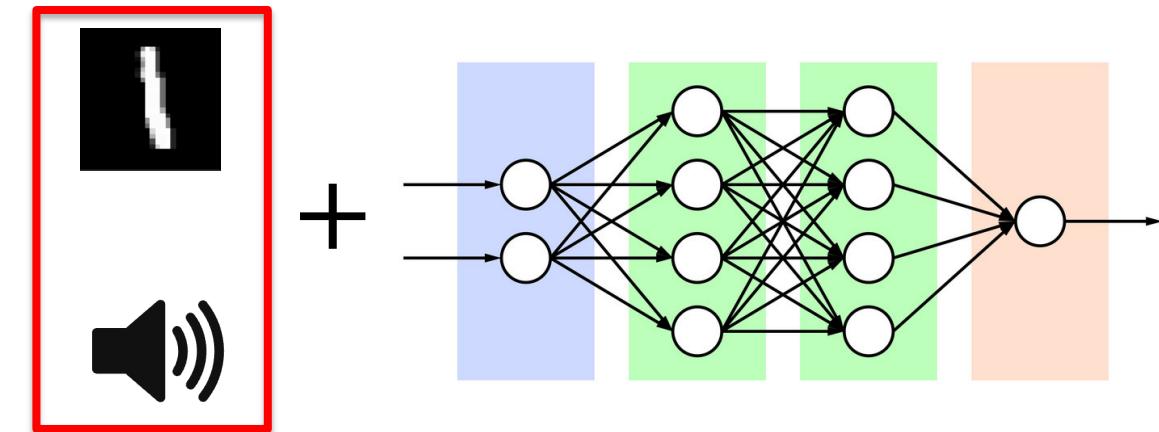
Background

Major Work:



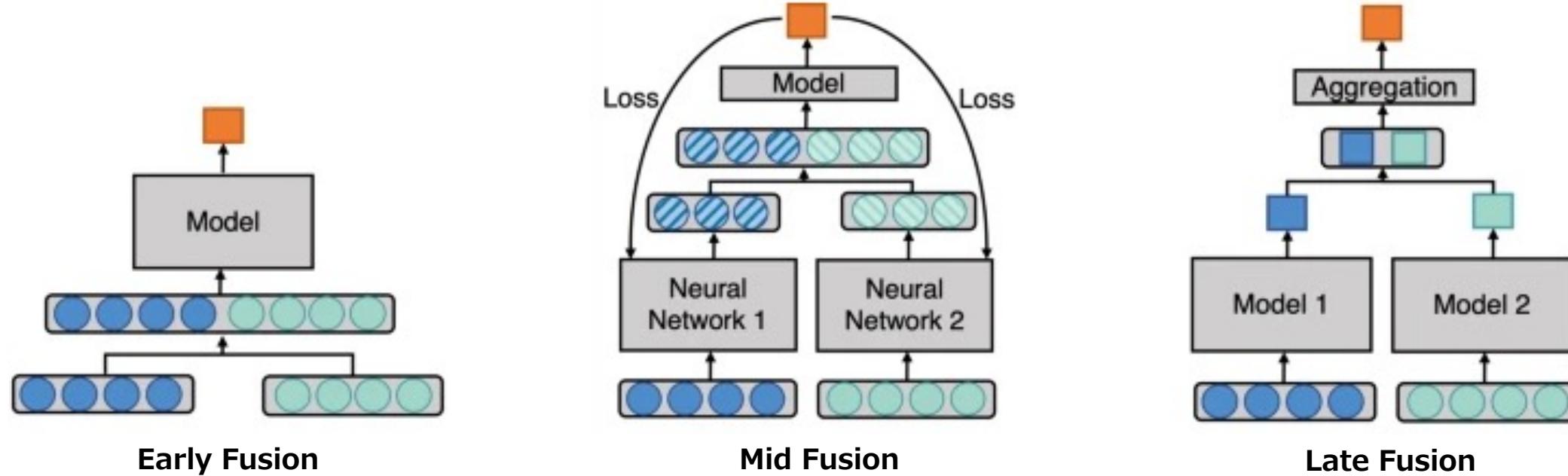
- Multimedia through **Machine Learning**
- Fusion architectures (signal, feature, and decision fusion)

Our Work:



- Explore multi-modal fusion through the lens of **Data Modalities**
- Trust and robustness of multimedia fusion model

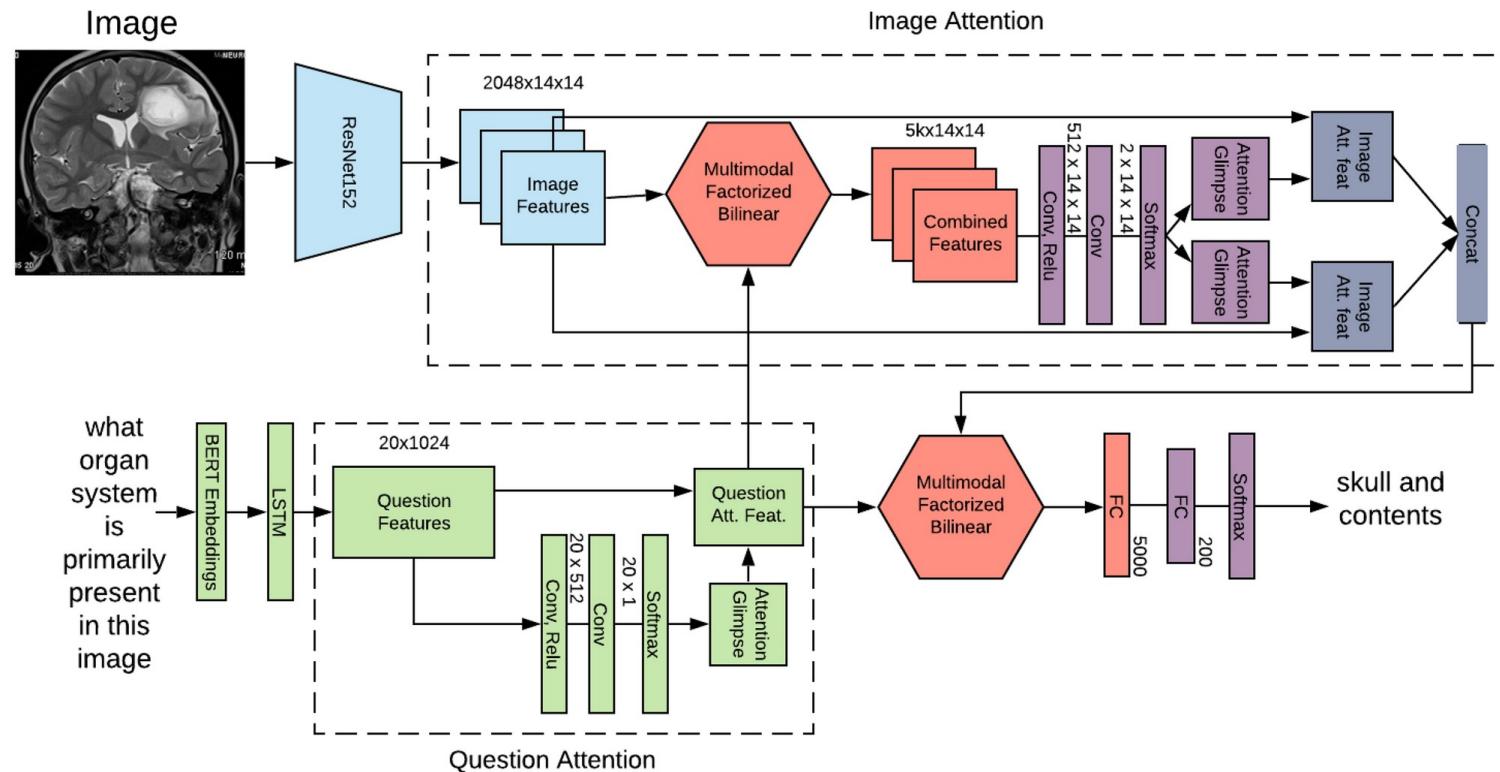
Fusion Architectures



■ Three types of fusion architectures in Deep Learning

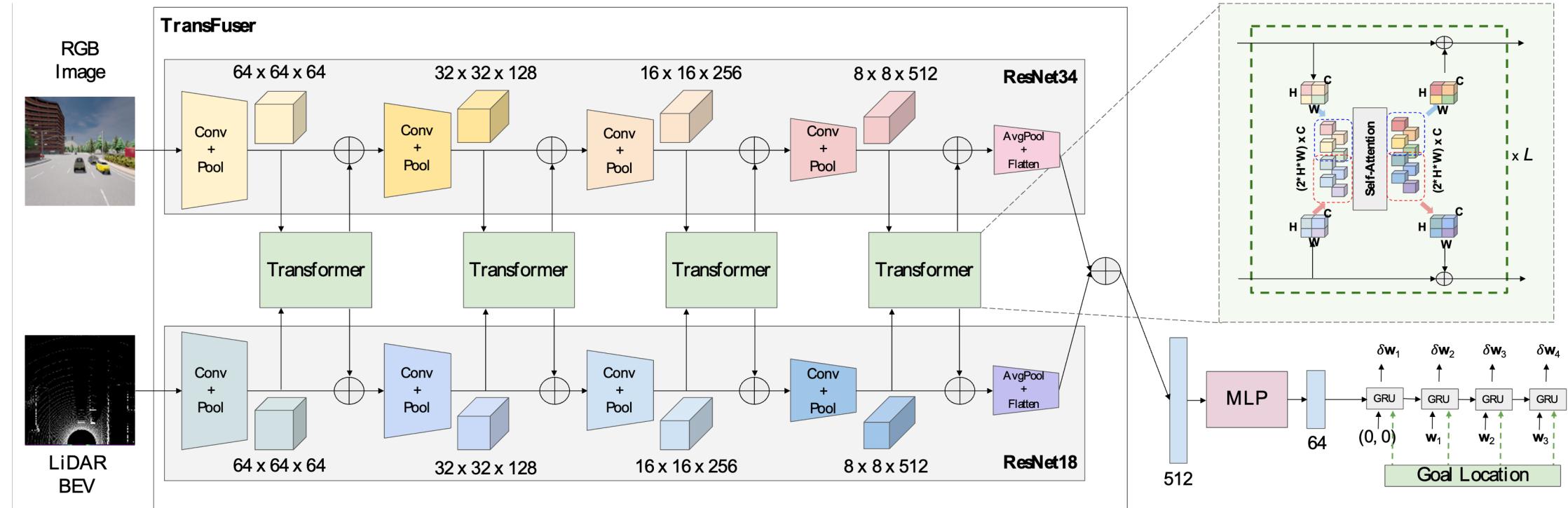
- **Early Fusion** concatenates original or extracted features at the input level
- **Intermediate Fusion** joints feature representations from intermediate layers of neural networks
- **Late Fusion** combines the predictions of multiple models

CNN-based Fusion Example



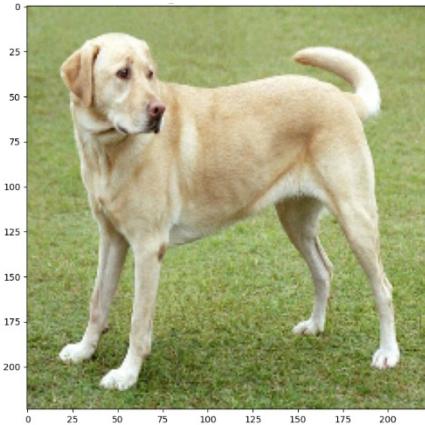
- **Visual Q&A is a representative task with multi modal features**
 - Image features are extracted by ResNet
 - Question features are extracted by LSTM
 - These features are concatenated in the middle of the architecture

Transformer-based Fusion Example

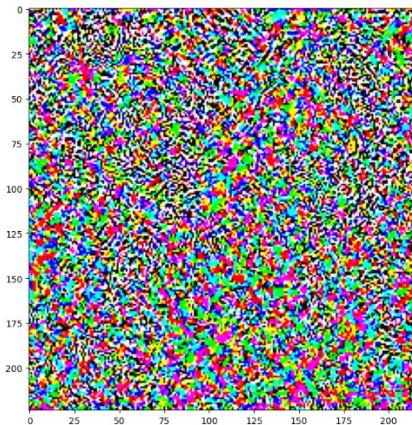


- **Integrating multiple features is essential to perform autonomous driving**
 - Both RGB image and LiDAR data are processed by CNN and Transformer layers
 - Transformers in the middle share these features in 4 different levels
 - Each ResNet stream is concatenated at the end of the process

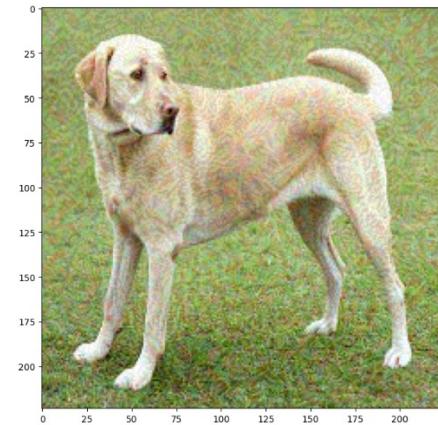
Adversarial Attack Methods



$$+ \mathcal{E} \times$$



$$=$$



Class: "Labrador retriever"

x

41.82% Confidence

Perturbation

$sign(\nabla_x J(\theta, x, y))$

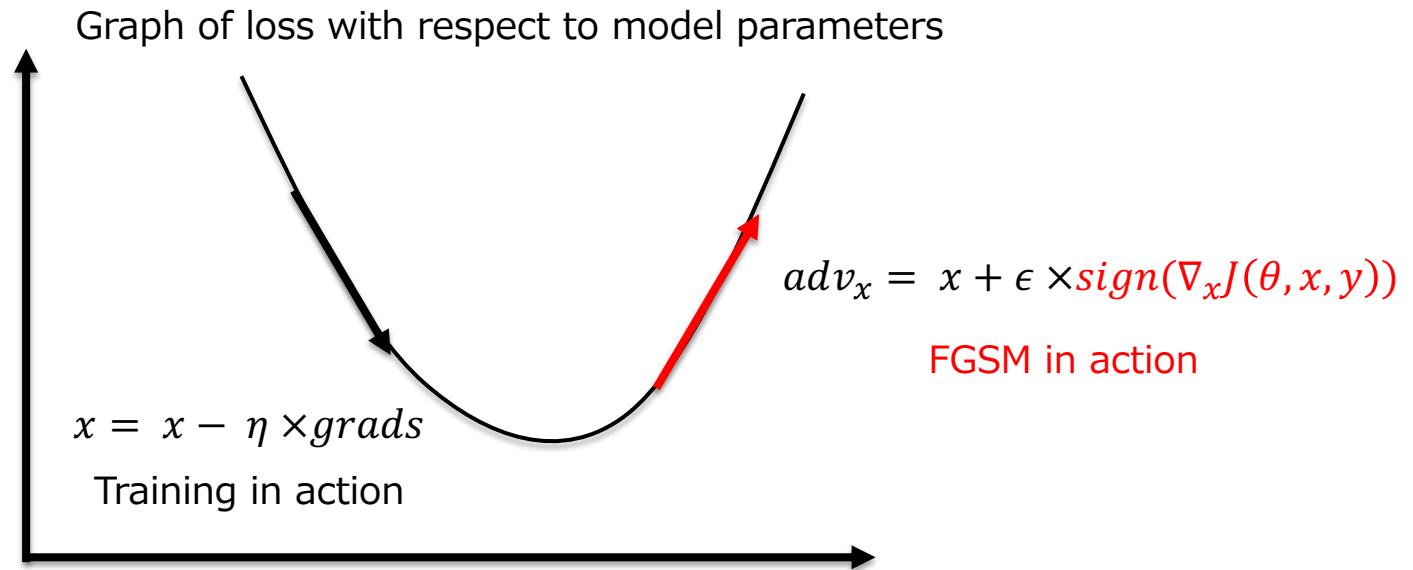
Class: "Saluki"

$x + \epsilon \times sign(\nabla_x J(\theta, x, y))$
13.08% Confidence

- An adversarial example: the original image + perturbation
- Methods to generate perturbation with known model parameters
 - Fast Gradient Sign Method (FGSM)
 - Projected Gradient Descent (PGD)

Fast Gradient Sign Method (FGSM)

In FGSM, nudge the pixels of the image slightly in the direction of the calculated gradients that maximize the loss calculated.

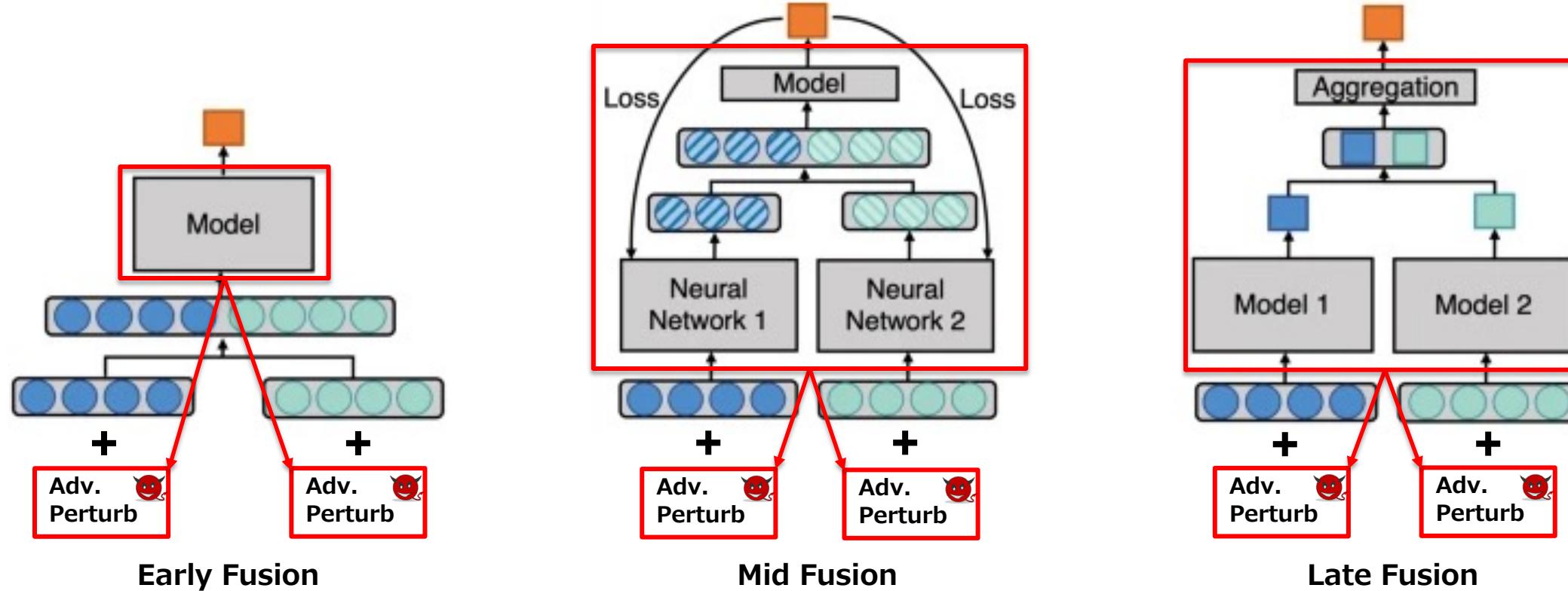


- The Fast Gradient Sign Method (FGSM) works by using the gradients of the neural network to create an adversarial sample.
- For an input image, the method uses the gradients of the loss (∇_x) with respect to the input image to create a new image that maximizes the loss. This new image is called the adversarial image, adv_x .
- The noise on the resulting image depends on the epsilon, ϵ
 - The larger the value, the more noticeable the noise

Projected Gradient Descent (PGD)

- Projected Gradient Descent (PGD) is an iterative method used in adversarial machine learning to create adversarial samples.
- PGD is a variant of FGSM applied iteratively with projection.
- PGD operates by applying small but iteratively adjusted perturbations to the input data, aimed at maximizing the model's prediction error.
- Specifically, the update rule for PGD is defined as
 - $x'_{t+1} = P(x_t + \alpha \cdot \text{sign}(\nabla_x J(\Theta, x_t, y)))$, where, x_t is the input at iteration t , α is the step size, $\nabla_x J(\Theta, x_t, y)$ is the gradient of the loss with respect to the input, and P is the projection operator ensuring perturbed input stays within predefined bounds.
- PGD is generally considered more effective in creating adversarial examples

Model-based Adversarial Attacks



- **Adversarial perturbations will be added to both inputs or either one of them**
 - These perturbations are created based on models in the case of white-box attack

Research Questions

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- Question 3: Does the impact of quantization on model robustness differ by data modality?

Research Questions

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- Question 3: Does the impact of quantization on model robustness differ by data modality?

Case Study 1: Overview

Question 1: Does fusion depth in a ML model impact robustness, particularly to single-modal attacks?

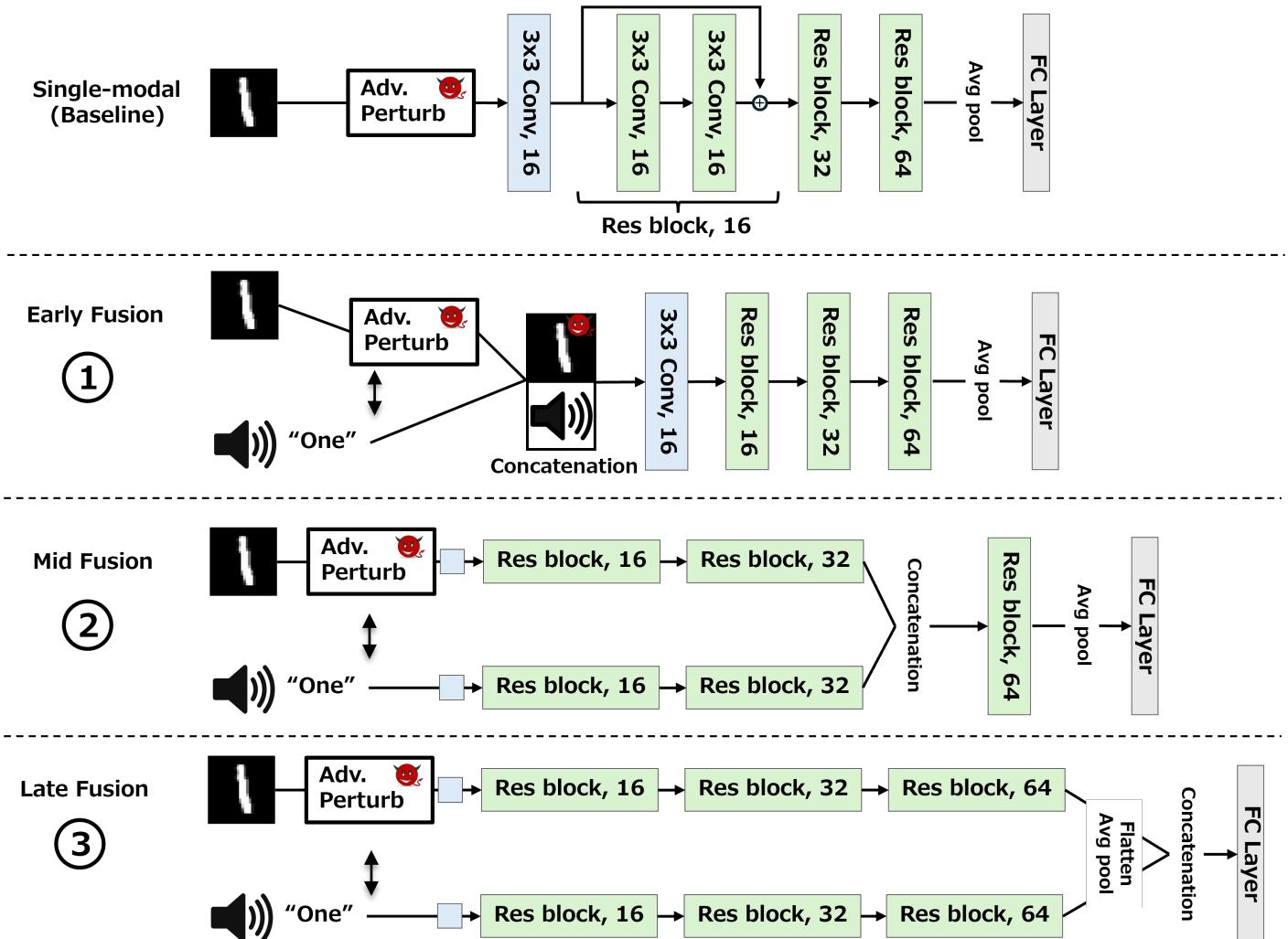
Model: Resnet 8

Modalities: Audio, Image

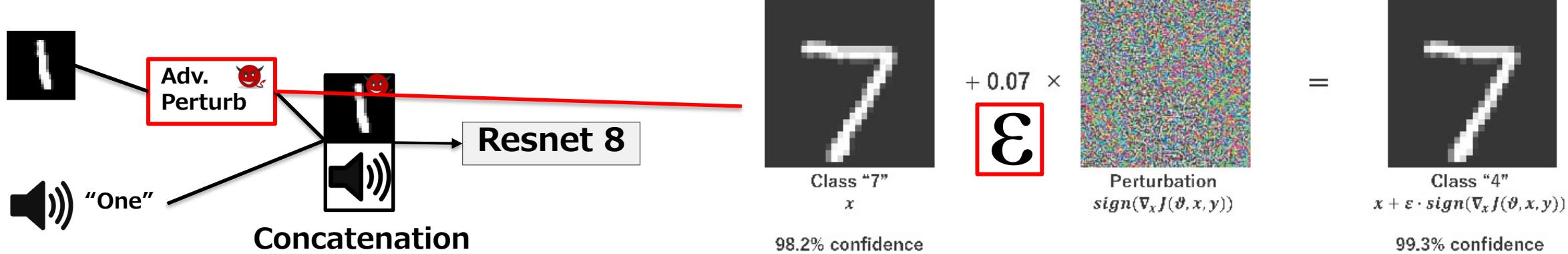
Attacks:
FGSM and PGD

For each Fusion Type:

- Apply Adv. to both modality
- Apply Adv. to image
- Apply Adv. to audio

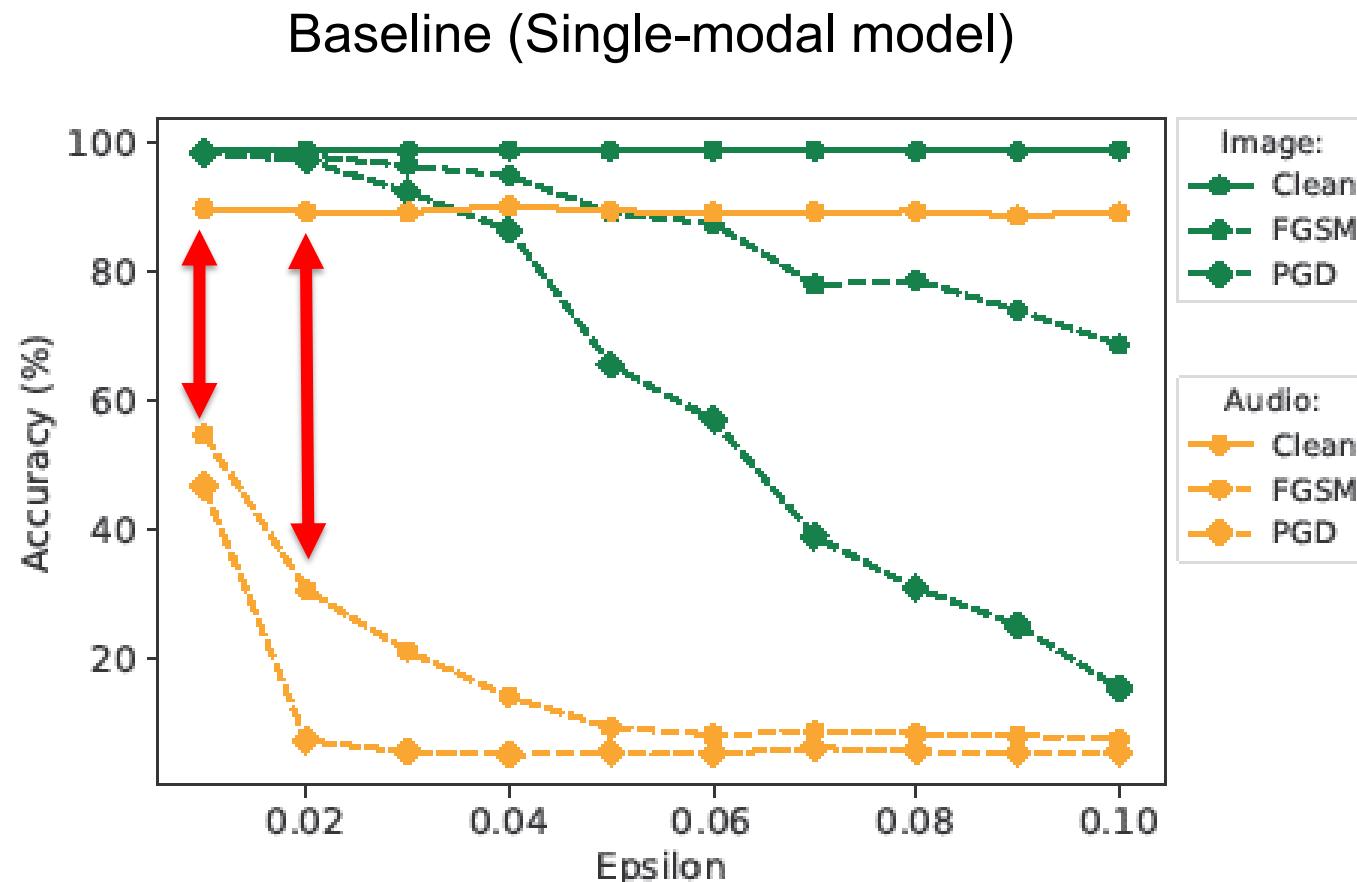


Case Study 1: Datasets and Attack Methods



- Image data: MNIST dataset (70000 digit images)
- Audio data: From Google Speech Commands (38908 utterances of digit)
 - Pre-processing by extracting the Mel Frequency Cepstral Coefficients (MFCC)
- Adv. Attacks: Fast Gradient Sign Method (FGSM), Projected Gradient Decent (PGD)
 - Explore epsilon values from 0.01 to 0.1

Case Study 1: Results & Analysis

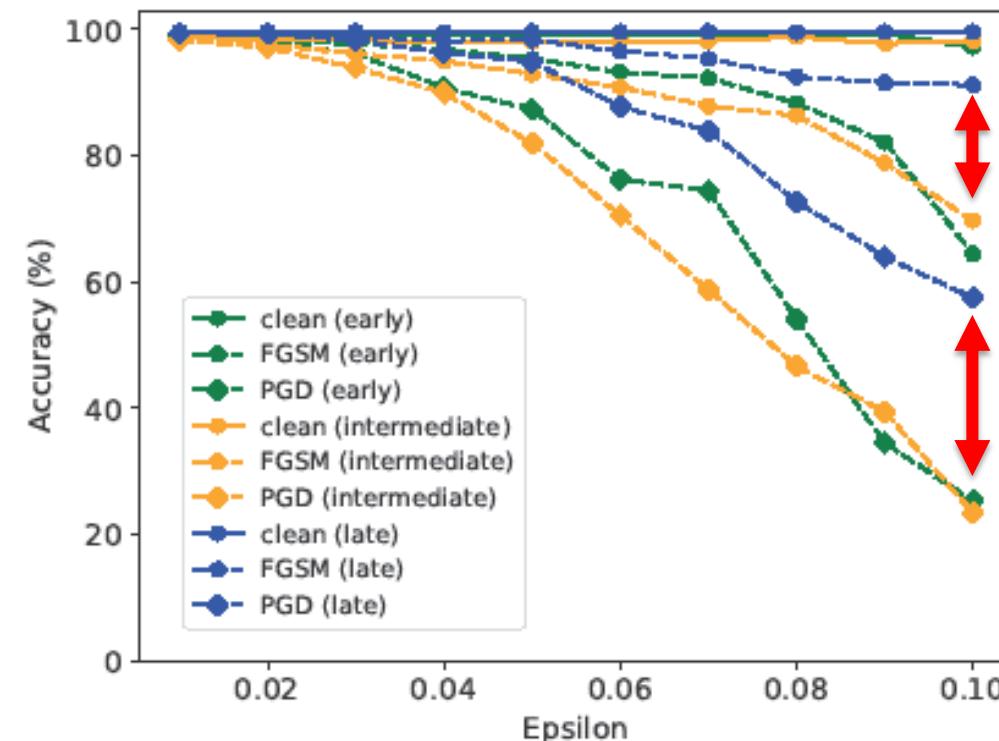


- Model trained on audio shows large **accuracy degradation** by FGSM and PGD
- Model trained on image shows much less degradation (at lower epsilon values)

Case Study 1: Results & Analysis

- Late fusion (Blue):
 - **Sustain** its accuracy for higher epsilon values
- Early (Green) and Intermediate (Yellow) fusion:
 - Accuracy is **degraded** more than late fusion

Attacks on Image Modality

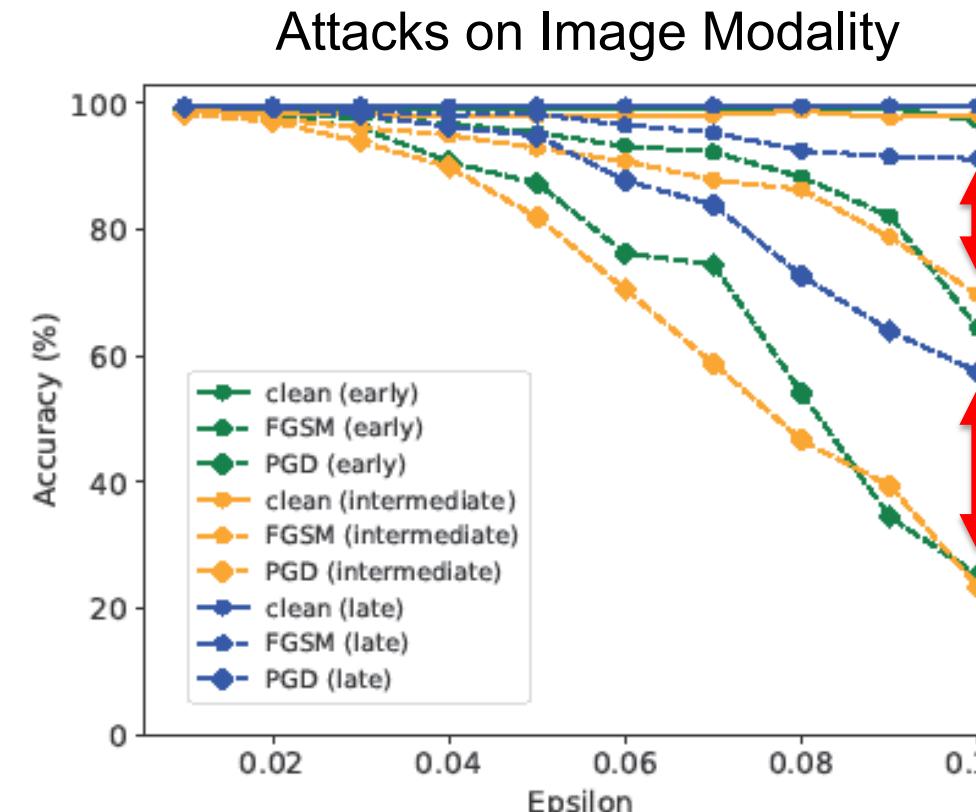


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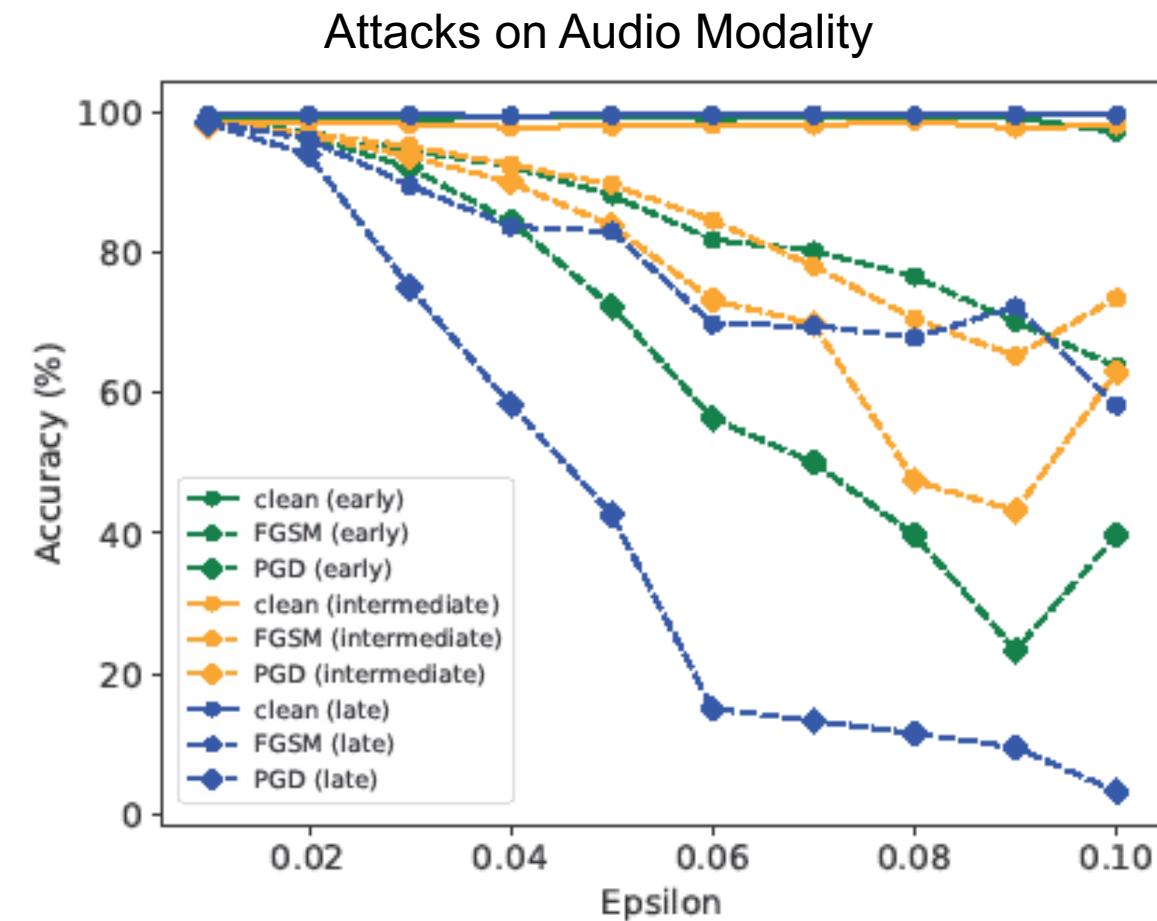
Observations:

- Late fusion appears more robust to adversarial attacks
- Previous research has shown early fusion can enhance accuracy (K. Gadzicki et al.)
- **Consider trade-off between accuracy and robustness based on fusion depth**



Case Study 1: Results & Analysis

- Late fusion (Blue) seems particularly weak against PGD attack on audio modality
- Intermediate fusion (Yellow) appears more robust against the PGD attack than the early and late fusion models.
- Fusion architecture may have some impact on model robustness to single-modal attack strategies.

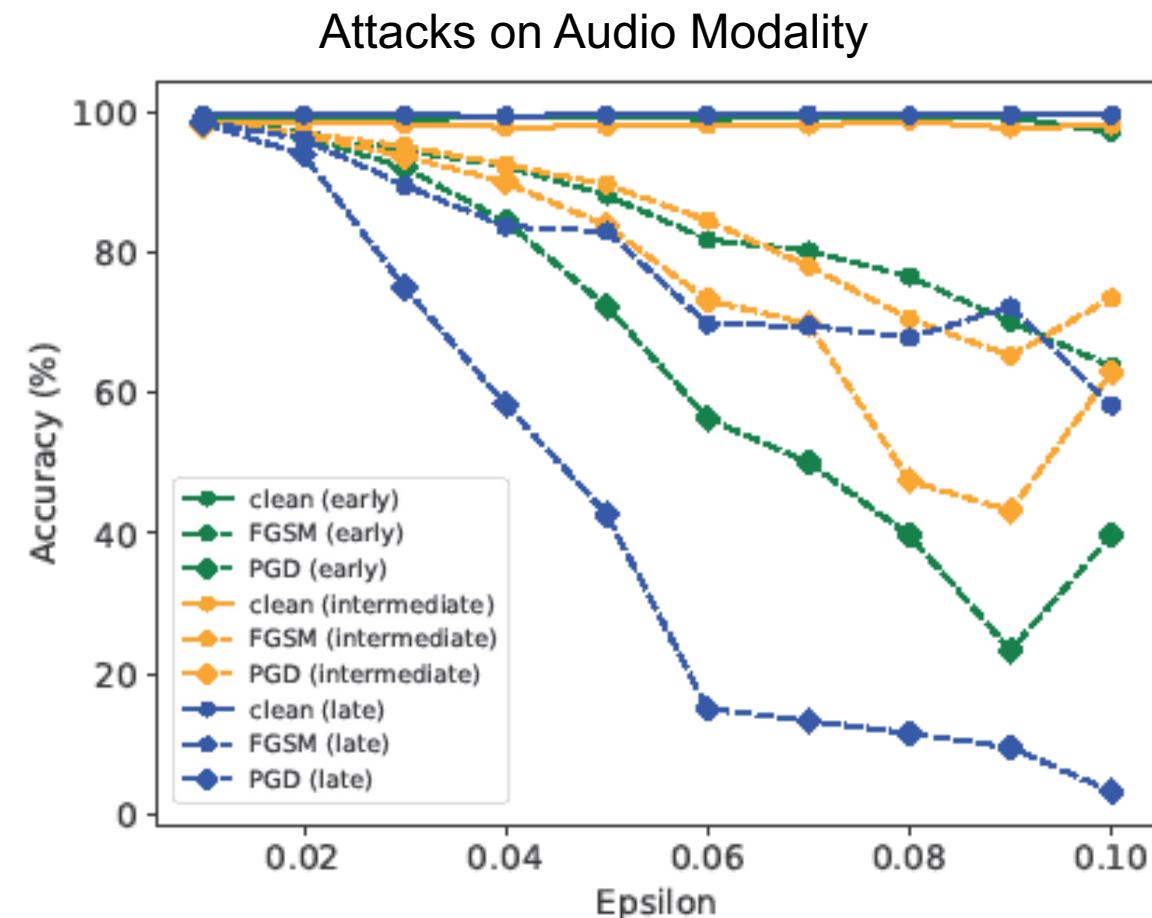


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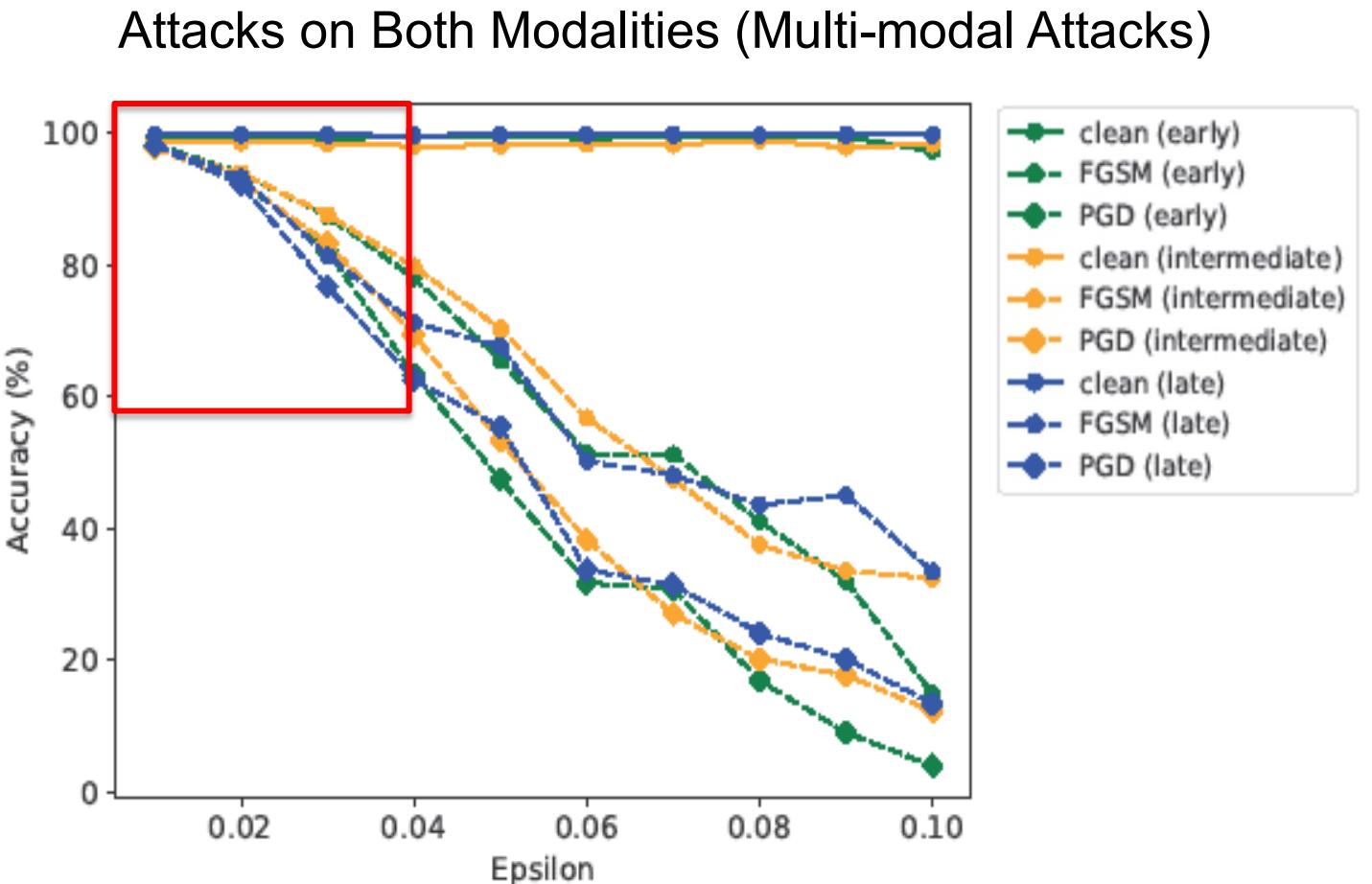
This result also connects to the case study 2

- A susceptible modality can degrade robustness against adversarial attack



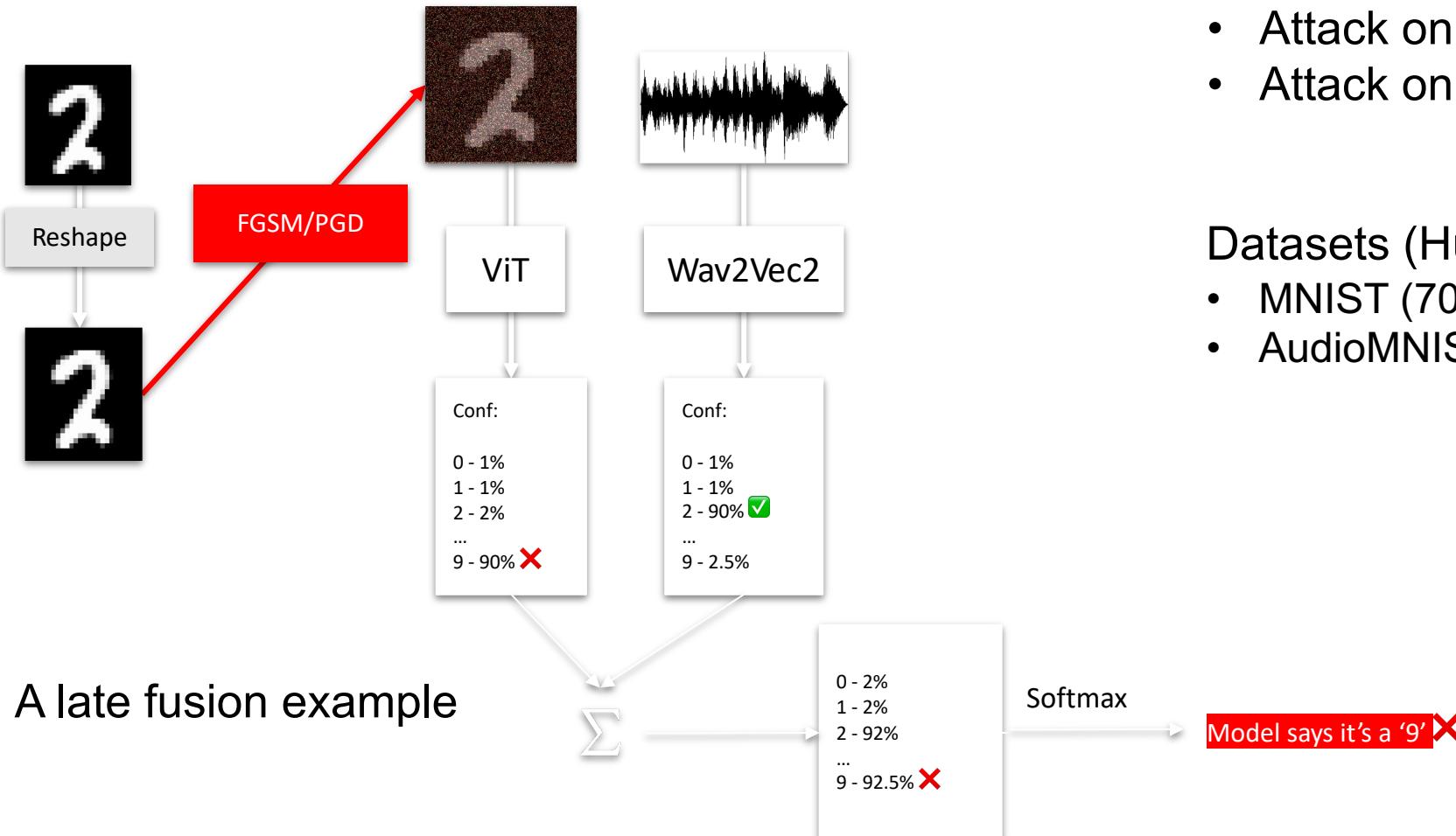
Case Study 1: Results & Analysis

- **Unsurprising result:** multi-modal attacks resulted in greater accuracy degradation because the multi-modal attacks could perturb both input modalities
- Fusion still improves the robustness of the model when comparing to single-modal models (slide 22) at lower epsilon values



Case Study 1: Transformer-based Evaluations

Evaluations of Transformer-based architectures: early, mid, and late fusion models

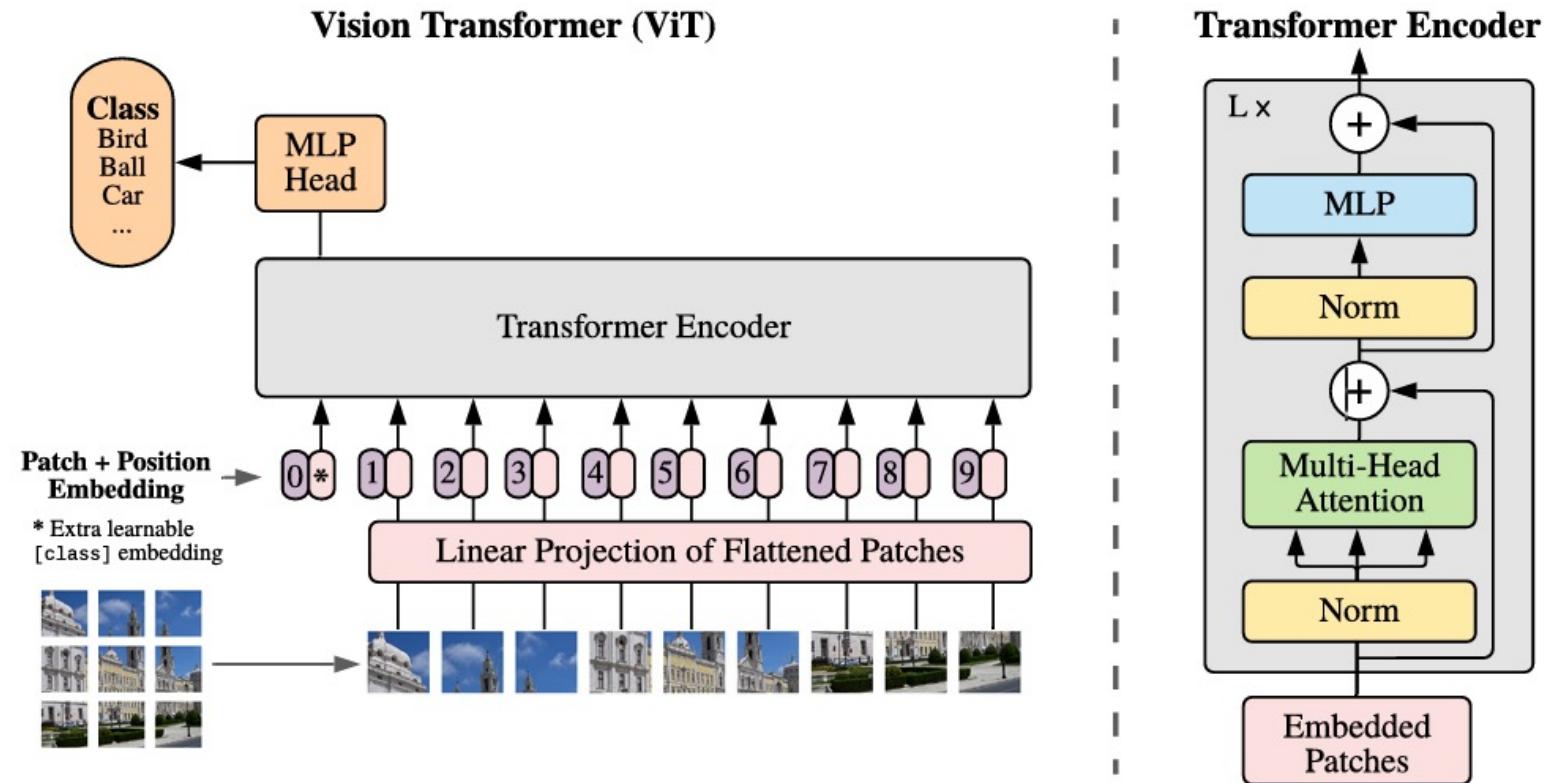


- Attack on single modality
- Attack on both modalities

Datasets (Hugging Face):
• MNIST (70,000 digit images)
• AudioMNIST (750 wav files)

Case Study 1: Vision Model

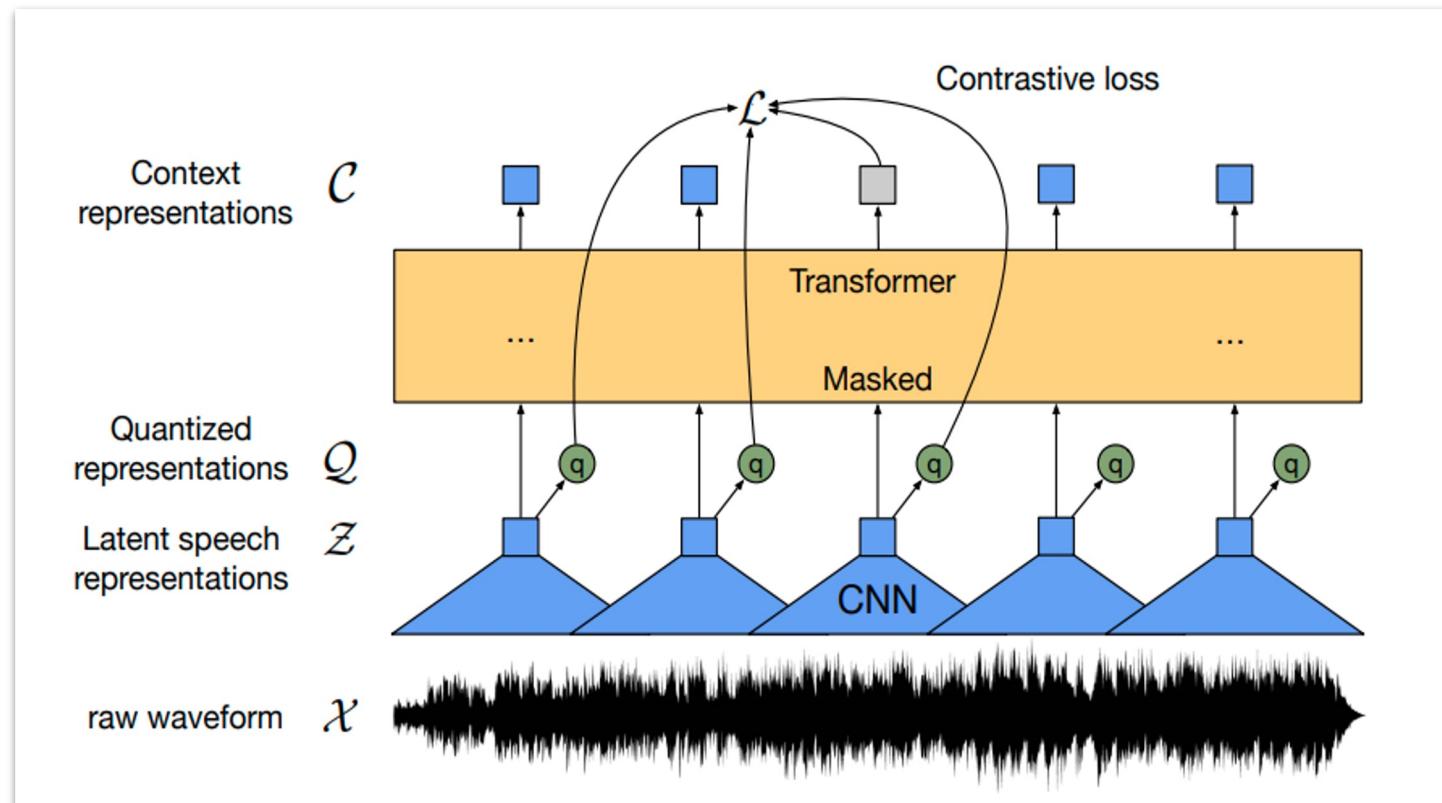
Image: Google ViT



Source : [2010.11929v2.pdf \(arxiv.org\)](https://arxiv.org/pdf/2010.11929v2.pdf), Google Research, ICLR 2021.

Case Study 1: Audio Model

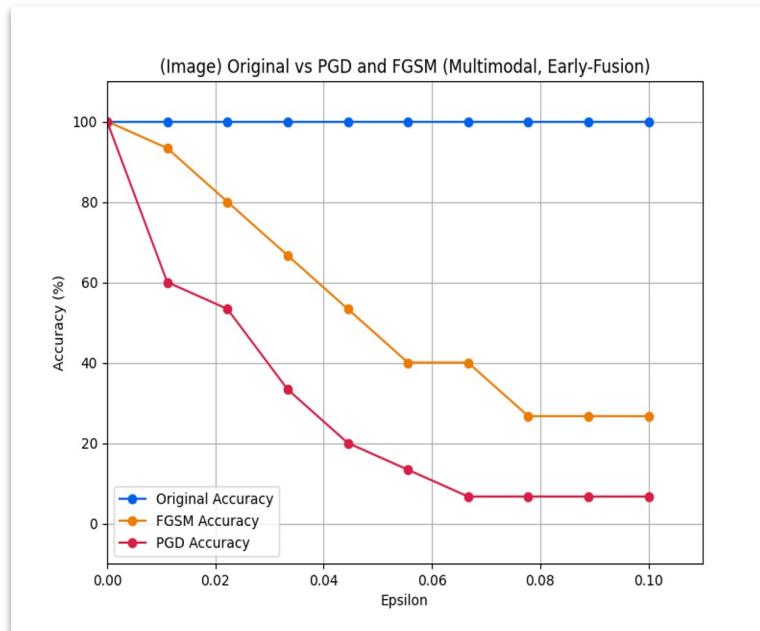
Audio: Wav2Vec2



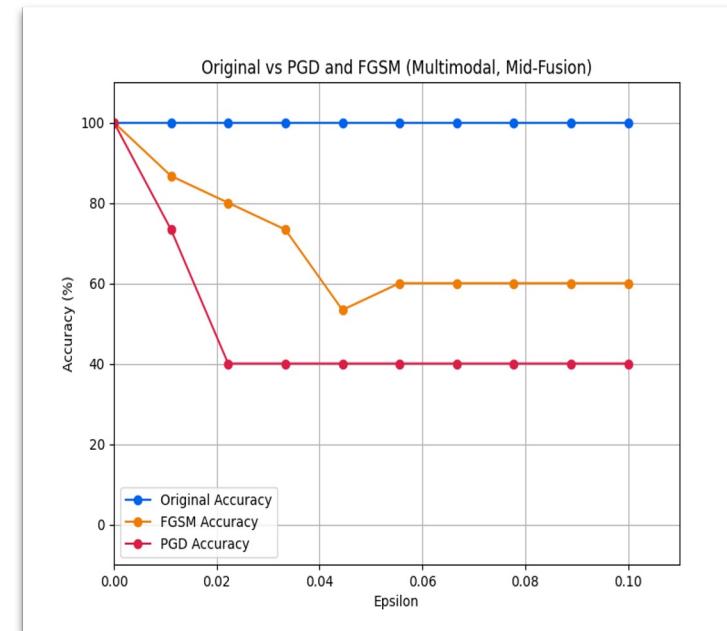
A. Baevski, et al, "wav2vec 2.0: A framework for self-supervised learning of speech representation, NeurIPS 2020.

Case Study 1: Results & Analysis

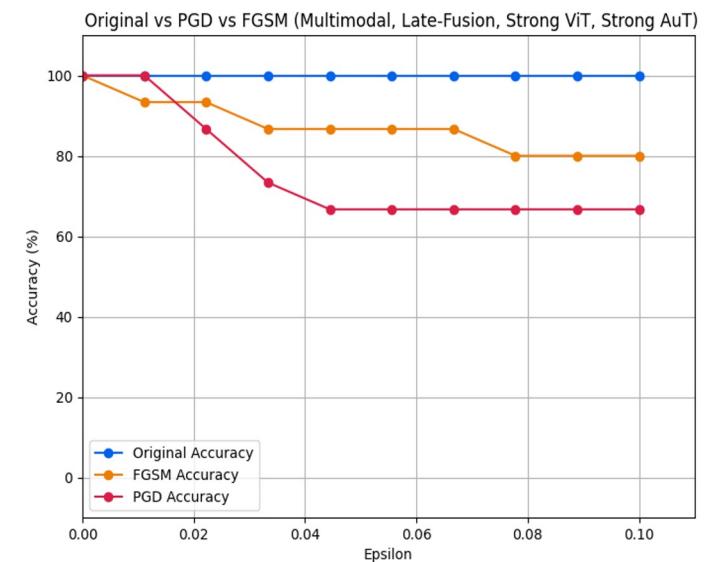
Attacks on Image Modality



Early



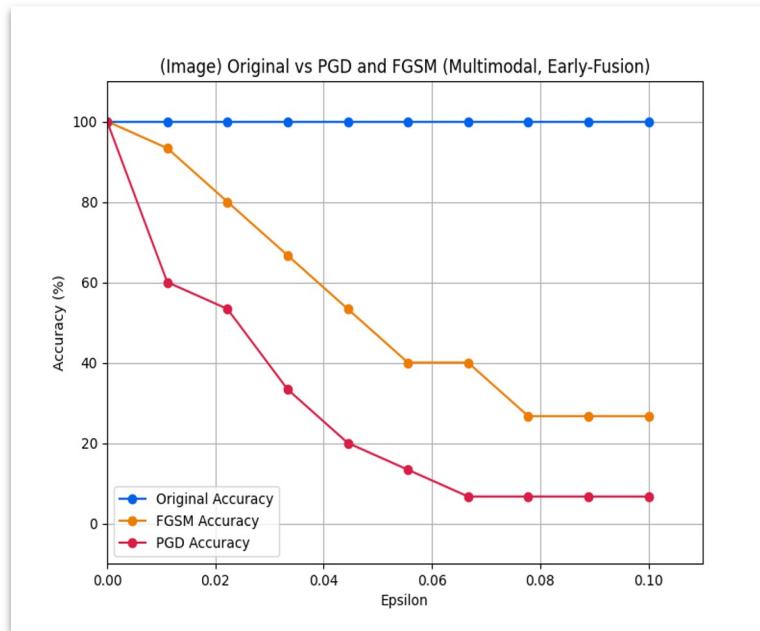
Mid



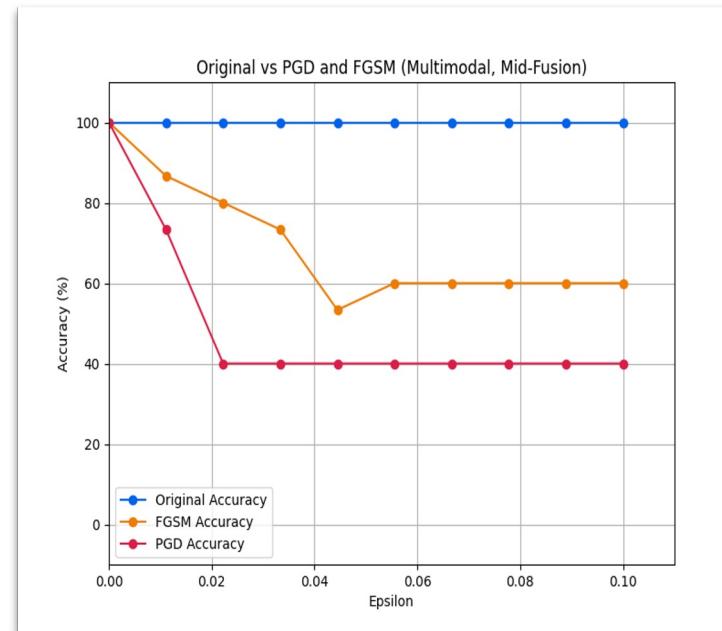
Late

Case Study 1: Results & Analysis

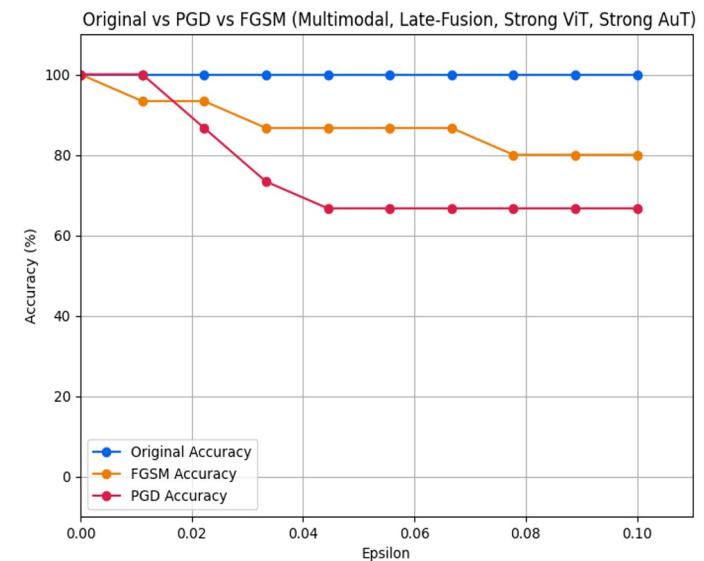
Attacks on Image Modality



Early



Mid

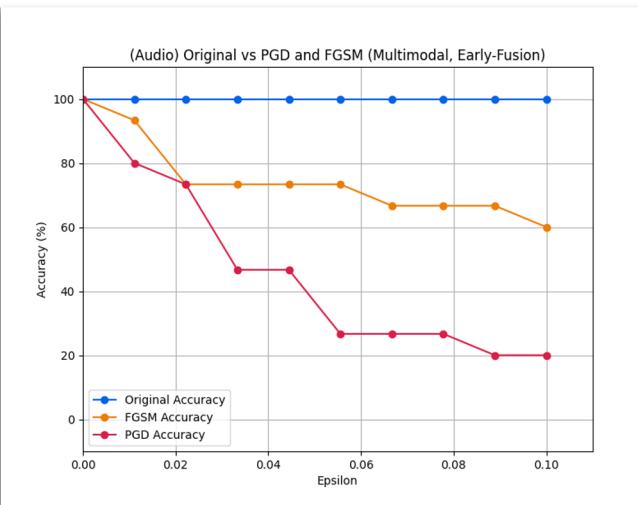


Late

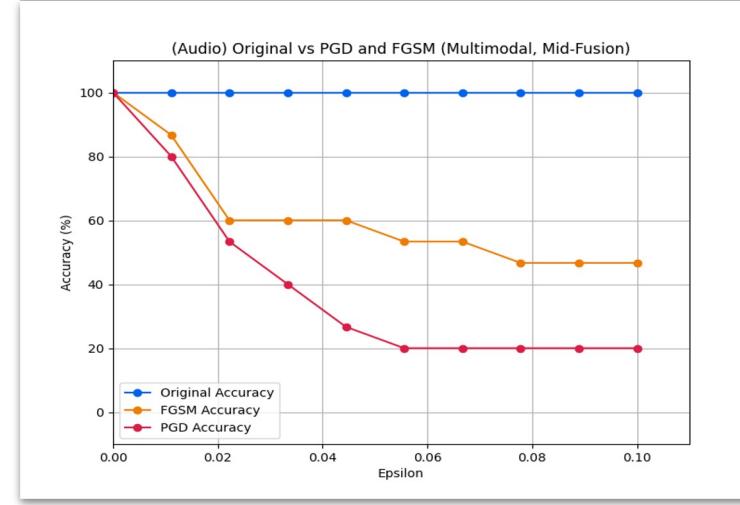
- Similar to the CNN architectures, late fusion is better than early or mid fusion for attack on image modality

Case Study 1: Results & Analysis

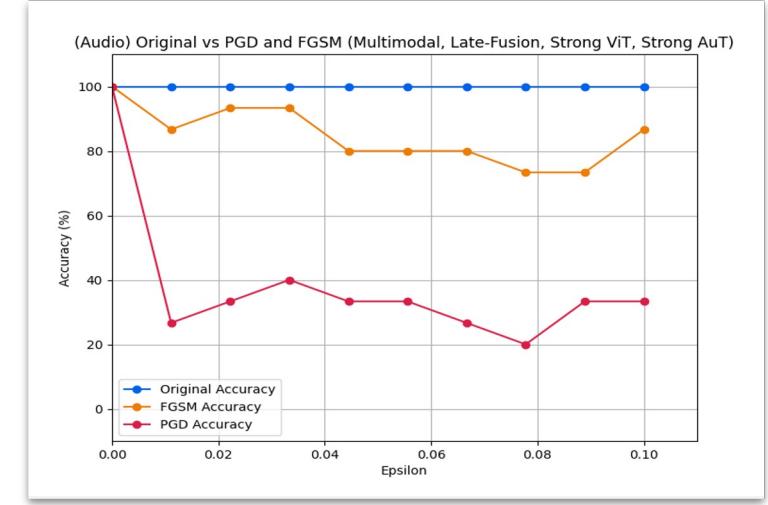
Attacks on Audio Modality



Early



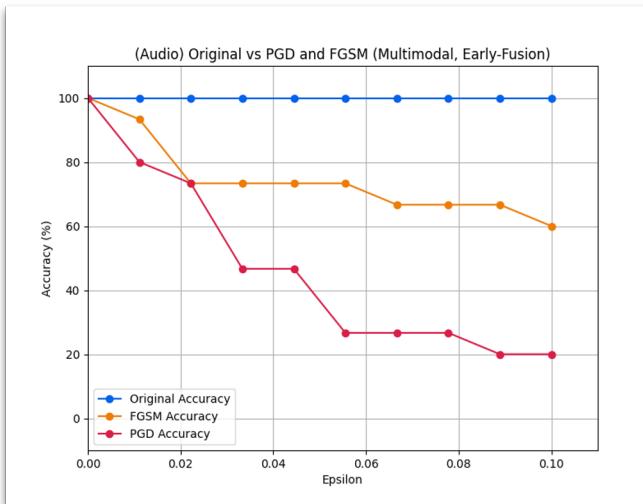
Mid



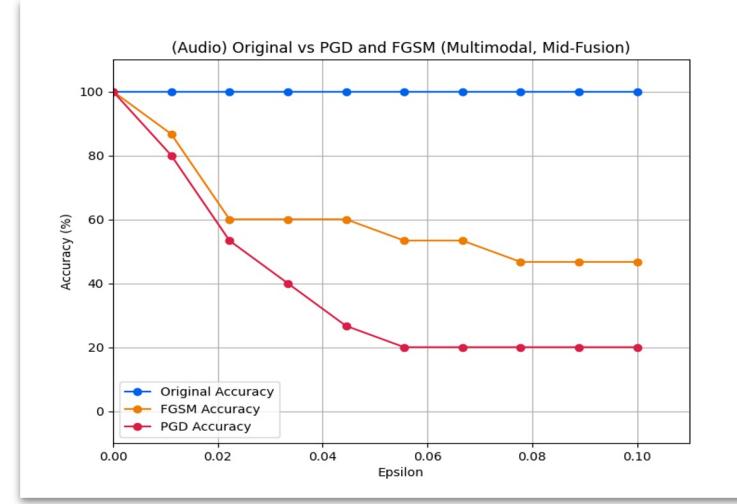
Late

Case Study 1: Results & Analysis

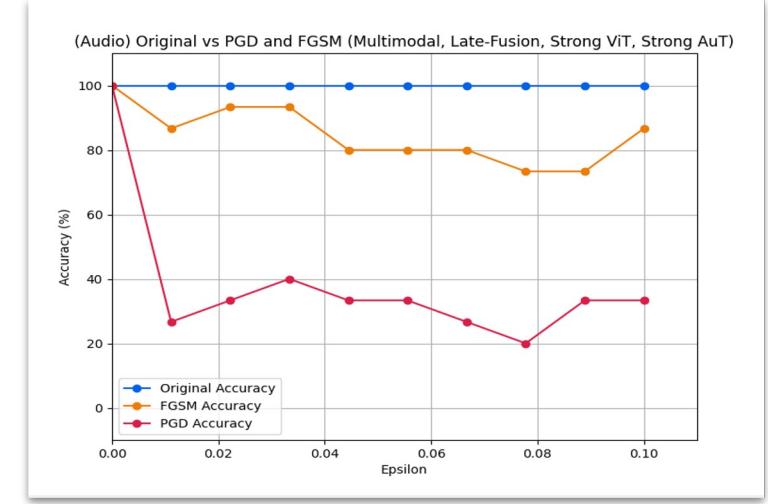
Attacks on Audio Modality



Early



Mid

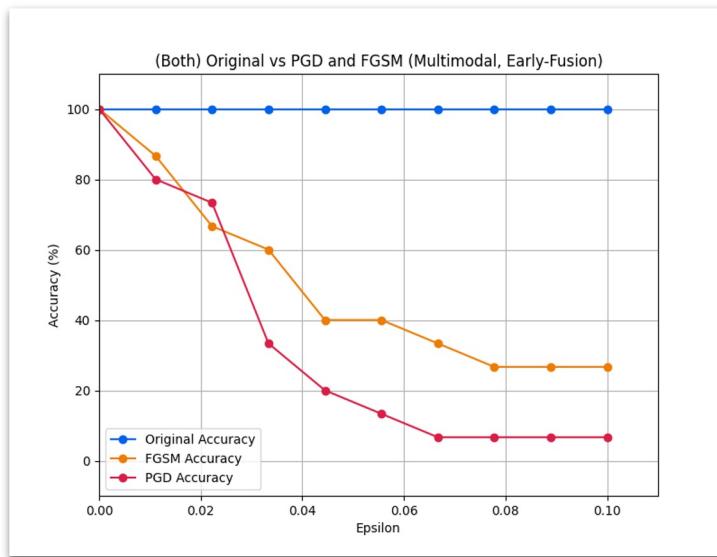


Late

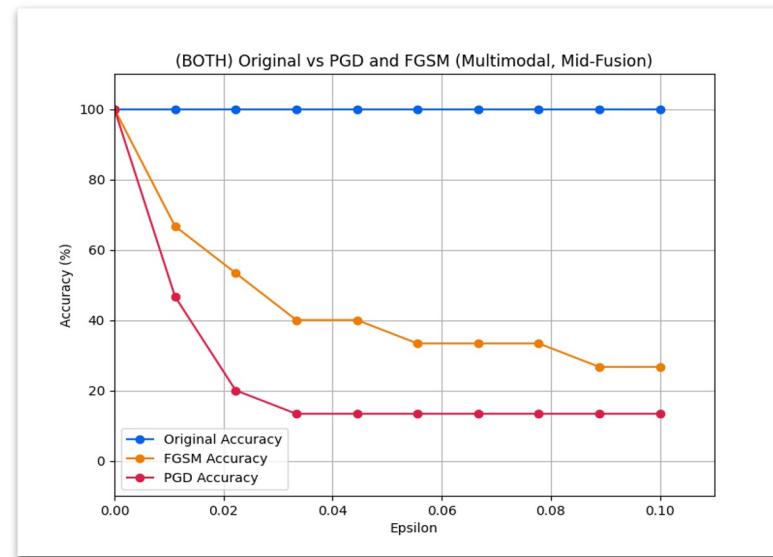
- For audio attacks, late fusion is slightly better than early or mid fusion strategies.
- Similar to the CNN experiments, audio modality seems more susceptible to attacks comparing to image modality, at least for mid and late fusion architectures.

Case Study 1: Results & Analysis

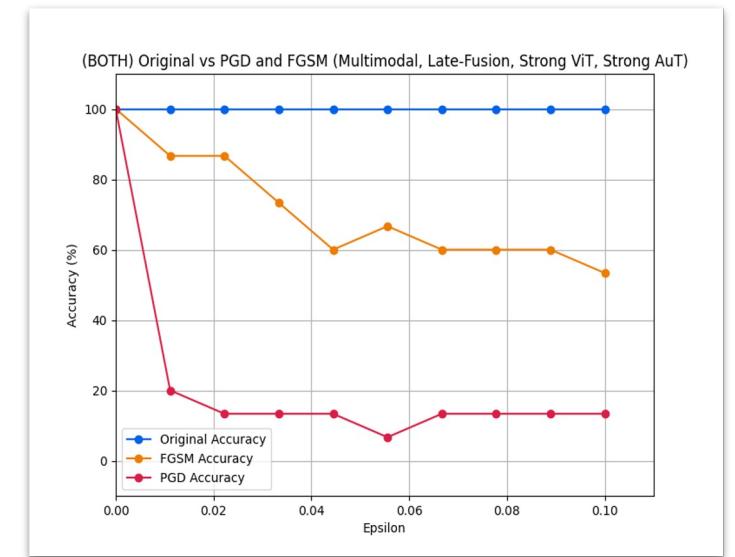
Attacks on Both Modalities



Early



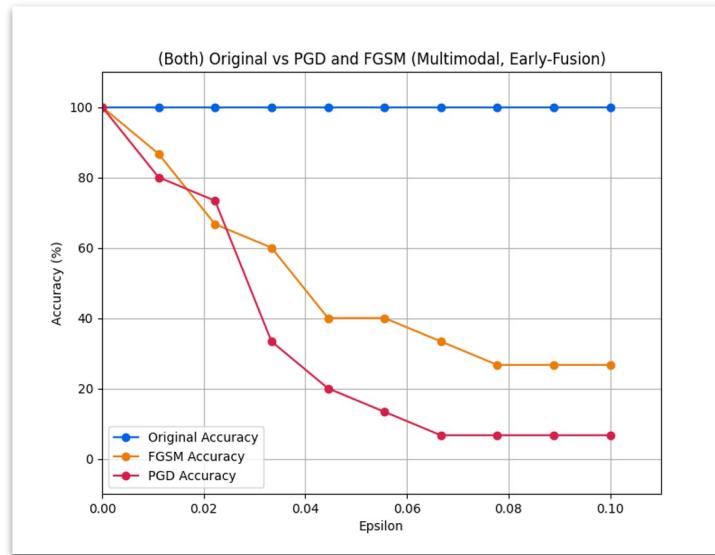
Mid



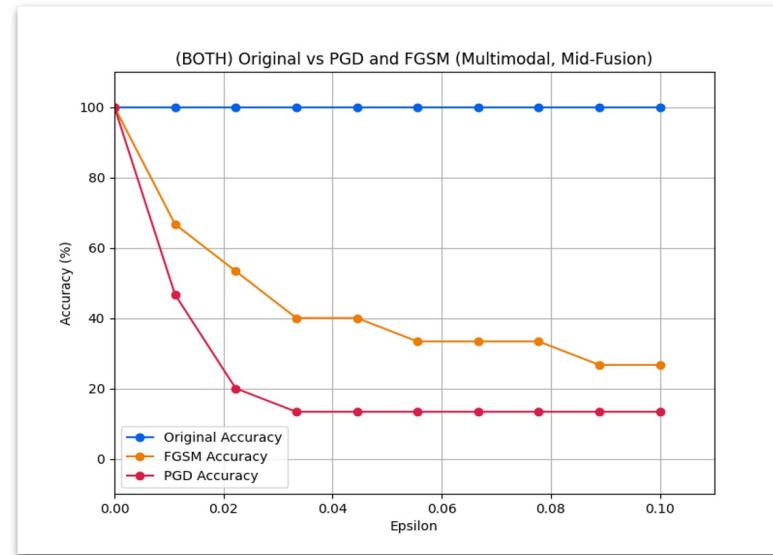
Late

Case Study 1: Results & Analysis

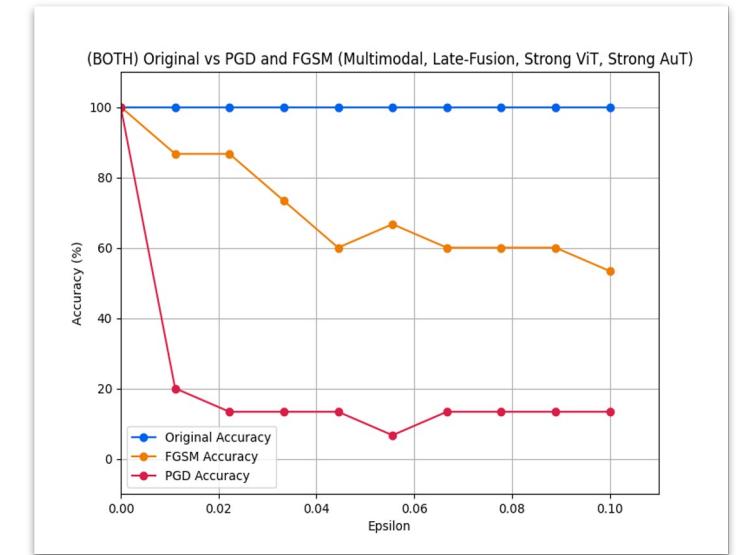
Attacks on Both Modalities



Early



Mid



Late

- For multimodal attacks, late fusion is still better than early or mid fusion, particularly for FGSM attacks.
- Again, multi-modal attacks resulted in greater accuracy degradation because the multi-modal attacks could perturb both input modalities.

Case Study 1: Results & Analysis

Transformer-based models

- In this experiment, late fusion appears more robust to adversarial attacks on single modality (image or audio).
- When compared to image-only or audio-only attack, multi-modal attack seem to result in greater accuracy degradation. This is consistent with earlier findings that multi-modal attacks perturb both input modalities.
- Again, need to consider trade-off between accuracy and robustness based on fusion depth.

Research Questions

- Question 1: Does fusion depth in a ML model impact robustness, particularly to single-modal attacks?
- Question 2: Can the inclusion of data modalities that are more vulnerable to perturbation make a model less robust to adversarial attacks?
- Question 3: Does the impact of quantization on model robustness differ by data modality?

Case Study 2: Overview

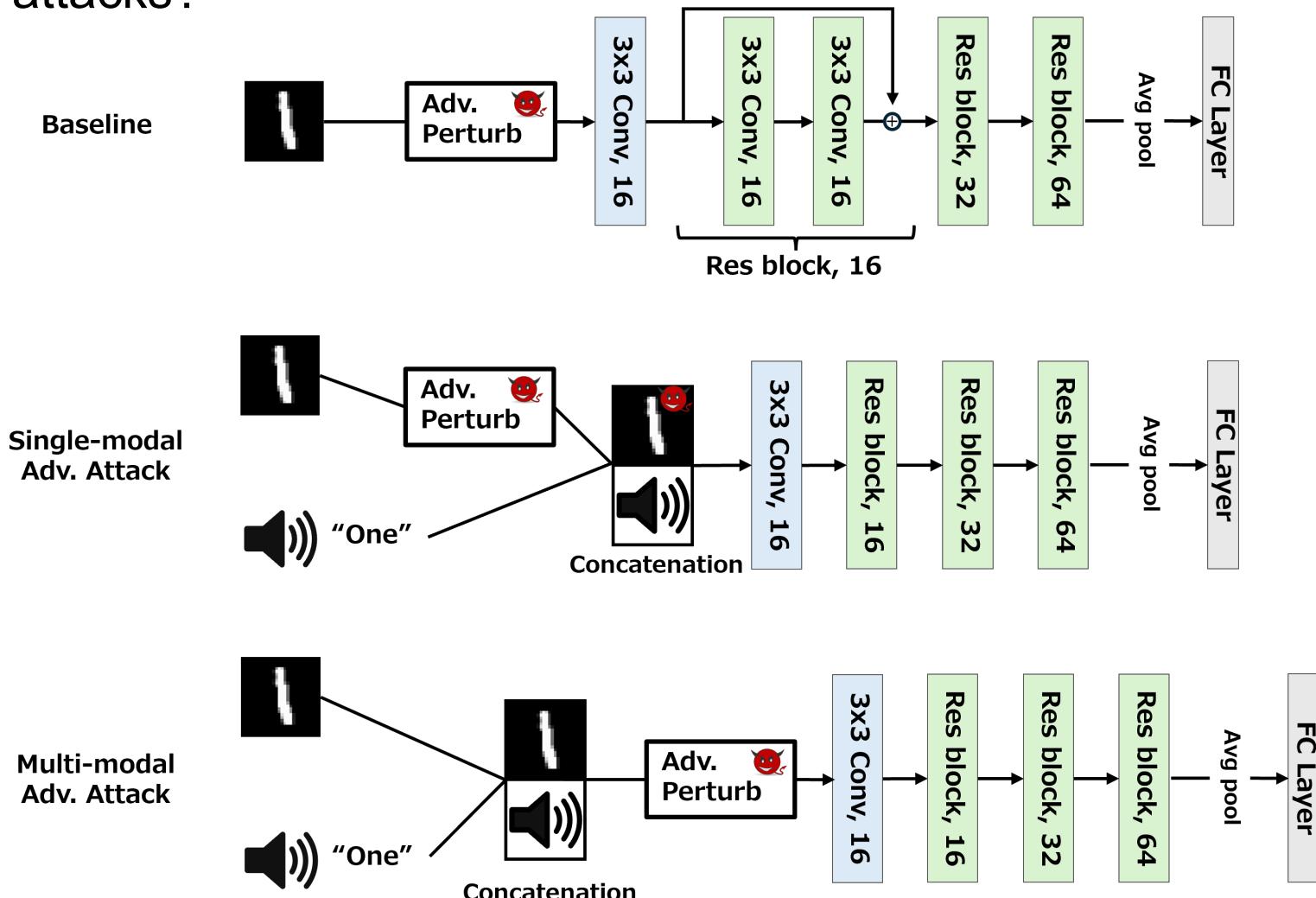
Question 2: Can the inclusion of data modalities that are more vulnerable to perturbation make a model less robust to adversarial attacks?

Modality: Audio (susceptible), Image

Attacks: FGSM and PGD

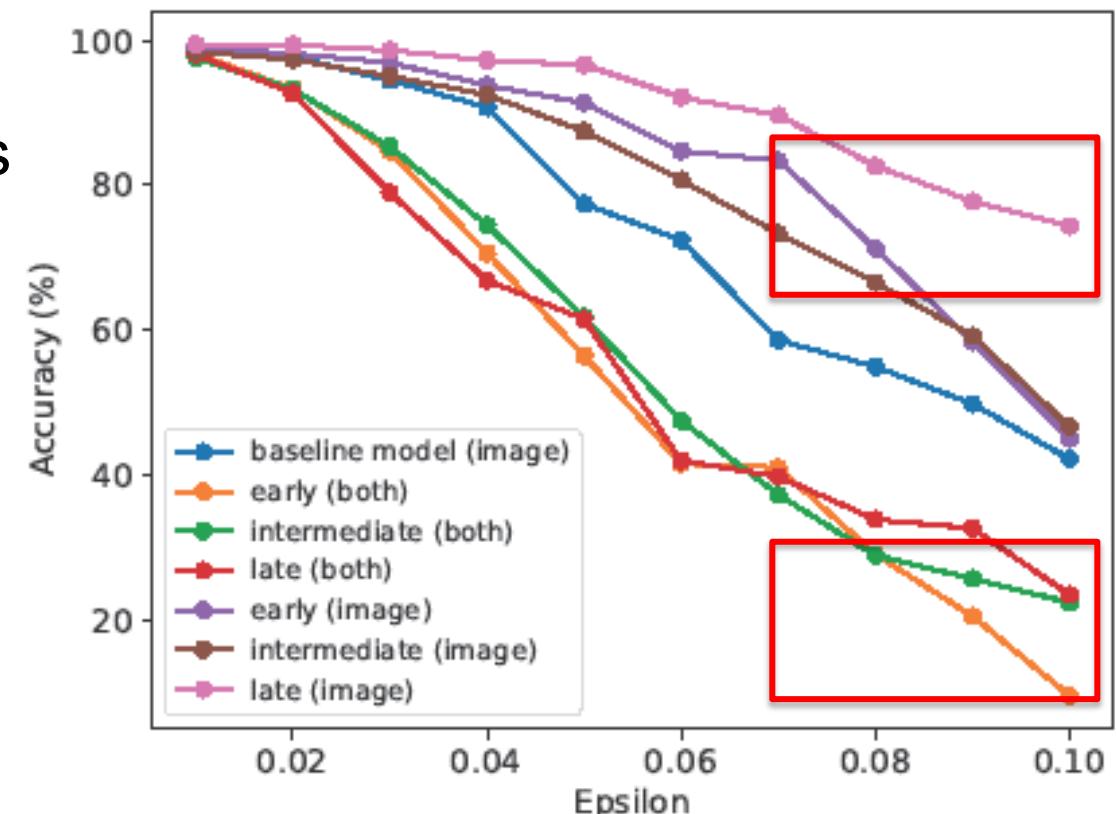
Fusion Types: Early, Intermediate, Late Fusion

Evaluation:
Compare single and multi modal attack results



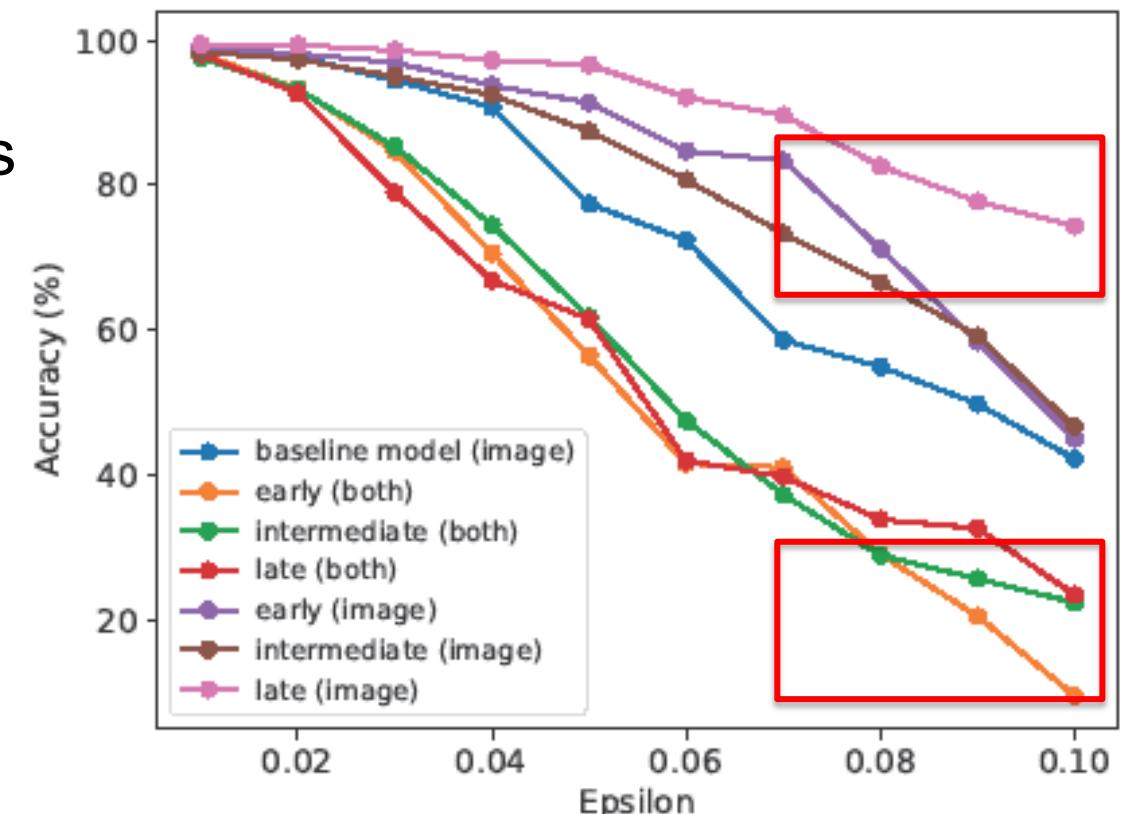
Case Study 2: Results & Analysis

- Attacking only image modality (Purples): Accuracy is **higher** than baseline (Blue) as adding audio helps improve robustness
- Attacking on both modalities (Red, Green, Yellow): Accuracy is **lower** than baseline as audio is more susceptible to adversarial attacks



Case Study 2: Results & Analysis

- Attacking only image modality (Purples): Accuracy is **higher** than baseline (Blue) as adding audio helps improve robustness
- Attacking on both modalities (Red, Green, Yellow): Accuracy is **lower** than baseline as audio is more susceptible to adversarial attacks



Observations:

- A new susceptible modality can degrade resistance to multi-modal adversarial attacks
- **A counterexample to the conventional view that fusion inherently improves robustness**

Research Questions

- Question 1: Does fusion depth in a ML model impact robustness, particularly to single-modal attacks?
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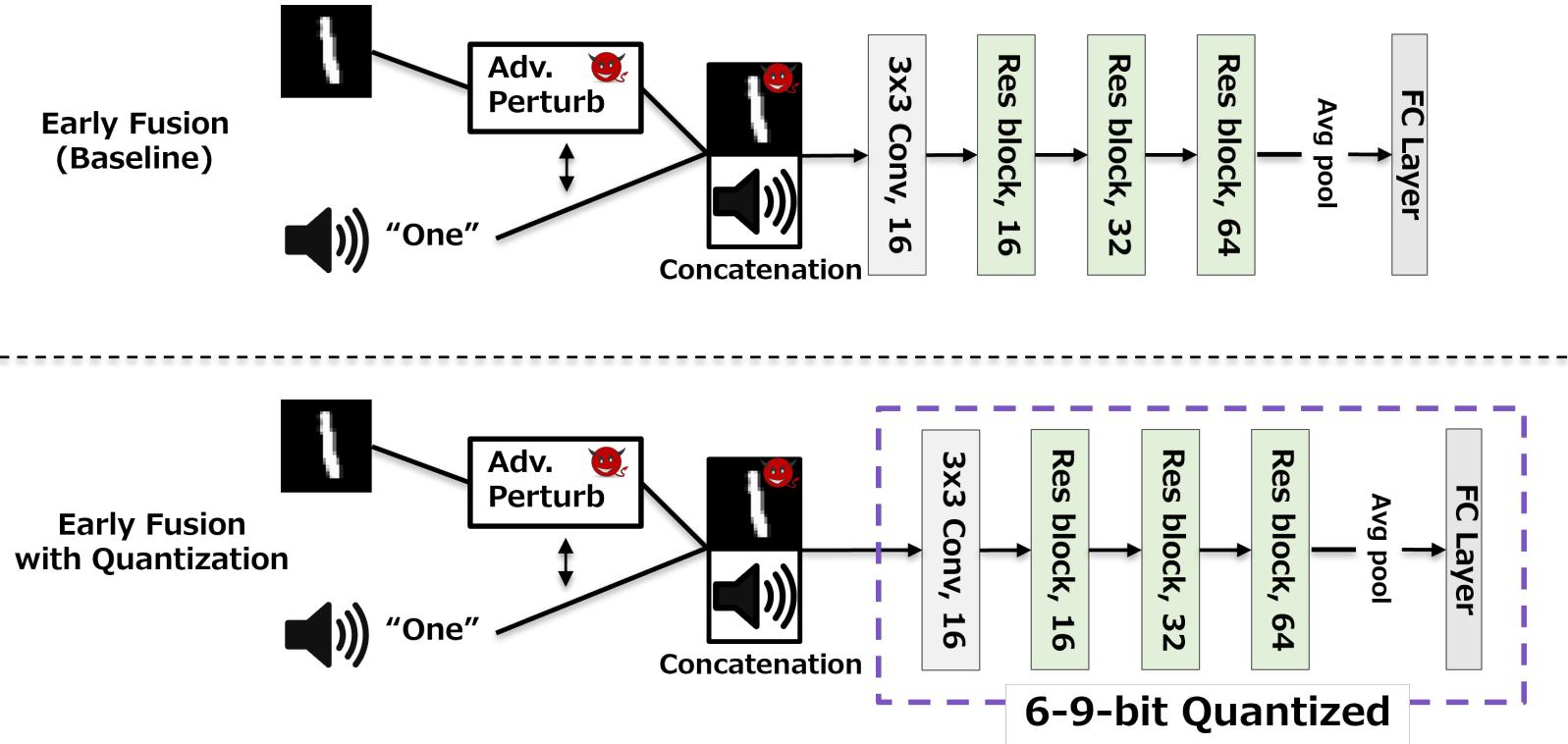
Case Study 3: Overview

Question 3: Does the impact of quantization on model robustness differ by data modality?

Modalities: Audio, Image

Attacks:
FGSM and PGD
(Single-modal attack)

Fusion Type: Early Fusion

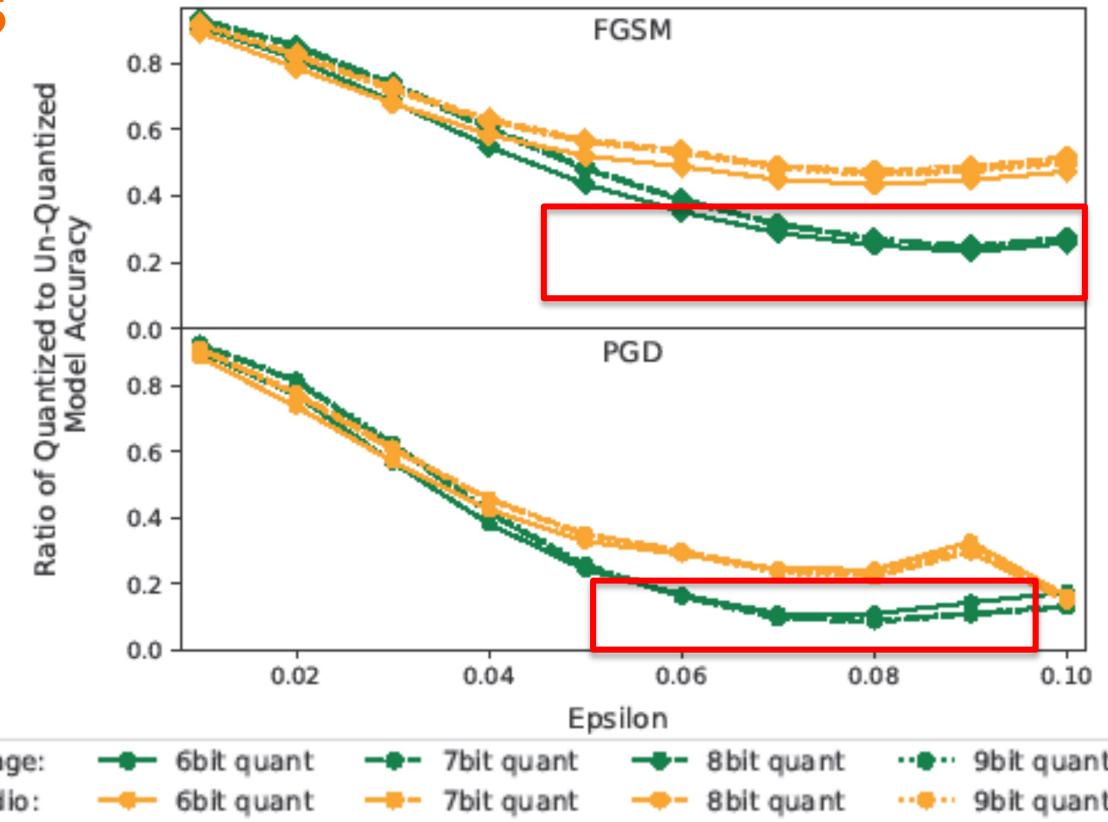


Quantization Technique: Quantization with min-max scaling (for each layer)

Evaluation: Compare Adv. Attacks on quantized and un-quantized early fusion models

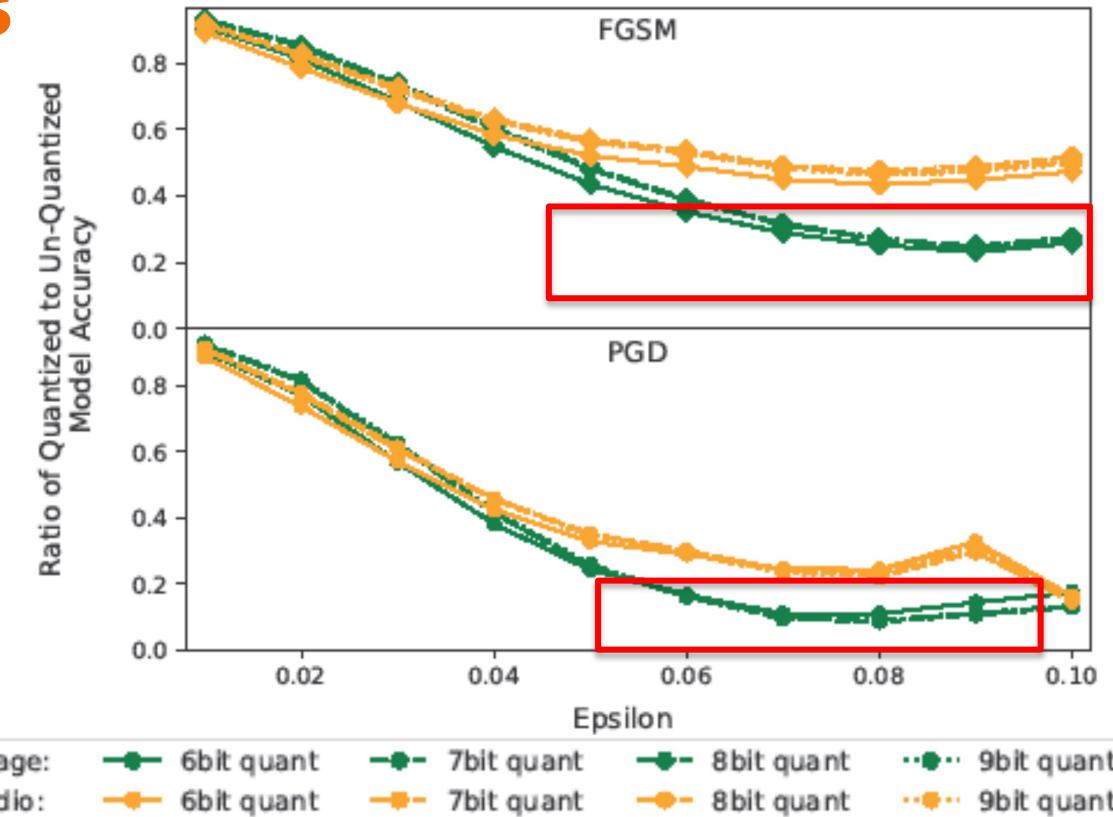
Case Study 3: Results & Analysis

- Attacks on audio modality (Yellow):
- Attacks on image modality (Green) :
 - Quantization reduced adversarial robustness in the **image** modality more



Case Study 3: Results & Analysis

- Attacks on audio modality (Yellow):
- Attacks on image modality (Green) :
 - Quantization reduced adversarial robustness in the **image** modality more



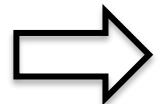
Observations:

- Quantization impacts model robustness differently across data modalities
- **Modality-dependent quantization algorithms could benefit multimodal ML applications**

Key Takeaways

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Case study 1: Fusion strategy impacts adversarial robustness to single-modal attacks and this result appears to differ by data modality



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→ A counterexample to the view that fusion inherently improves robustness

Case study 3: Robustness to adversarial perturbations differs not only by data modality, but also by the level of quantization applied to the modality

→ Quantization in multimodal ML apps should consider quantization by modality

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- Digital-space attacks vs. physical-world attacks.

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